

11) Publication number:

0 520 519 A1

(12)

# **EUROPEAN PATENT APPLICATION**

21 Application number: 92111017.7

(5) Int. Cl.5: H01J 37/32, H05H 1/46

2 Date of filing: 29.06.92

Priority: 27.06.91 US 722340 24.01.92 US 824856

(3) Date of publication of application: 30.12.92 Bulletin 92/53

Designated Contracting States:
DE FR GB IT NL

7) Applicant: APPLIED MATERIALS, INC. 3050 Bowers Avenue Santa Clara California 95054-3299(US)

Inventor: Collins, Kenneth S. 871 N. 19th St. San Jose, CA 95112(US) Inventor: Roderick, Craig A. 776 Pineview Dr. San Jose, CA 95117(US) Inventor: Trow, John R. 162 Knightshaven Way San Jose, CA 95111(US) Inventor: Yang, Chan-Lon 16788 Leroy Avenue Los Gatos, CA 95032(US) Inventor: Wong, Jerry Yuen-Kui 44994 Cougar Circle Fremont, CA 94539(US)

Inventor: Marks, Jeffrey 4730 Cielo Vista Way San Jose, CA 95129(US) Inventor: Keswick, Peter R. 6371-A Joaquin Murleta Avenue Newark, CA 94560(US) Inventor: Groechel, David W. 27985 Via Ventana Los Altos Hills, CA 94022(US) Inventor: Pinson II, Jay D. 6879 Queenswood Way San Jose, CA 95120(US) Inventor: Ishikawa, Tetsuya 3-14-24 Kosaku Funabashi City, Chiba 273(JP) Inventor: Lei, Lawrence Chung-Lai 10236 Lockwood Dr.

10236 Lockwood Dr.
Cupertino, CA 95014(US)
Inventor: Toshima, Masato M.
1614 Swallow Dr.
Sunnyvale, CA 94087(US)

Representative: DIEHL GLAESER HILTL & PARTNER
Patentanwälte Flüggenstrasse 13
W-8000 München 19(DE)

Plasma processing reactor and process for plasma etching.

A domed plasma reactor chamber (10) uses a device such as an antenna (30) driven by RF energy (LF, MF, or VHF) which is electromagnetically coupled inside the reactor dome (17). The antenna (30) generates a high density, low energy plasma inside the chamber (16) for etching metals, dielectrics and semiconductor materials. Auxiliary RF bias energy applied to the wafer support cathode (32C) controls the cathode sheath voltage and controls the ion energy independent of density. Various magnetic and voltage processing enhancement techniques are disclosed, along with etch processes, deposition processes and combined etch/deposition processes. This allows processing of sensitive devices (5) without damage and without microloading, thus providing high yields.

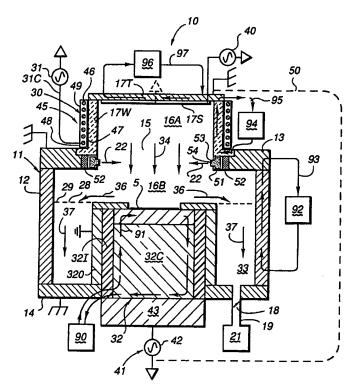


Figure 1

#### 1. FIELD OF THE INVENTION

The present invention relates to RF plasma processing reactors or apparatuses and a process for plasma etching.

# 2. DESCRIPTION OF THE RELATED TECHNOLOGY

The trend toward increasingly dense integrated geometries has resulted in components and devices of very small geometry which are electrically sensitive and susceptible to damage when subjected to wafer sheath voltages as small as approximately 200-300 volts due to energetic particle bombardment or radiation. Unfortunately, such voltages are of smaller magnitude than the voltages to which the circuit components are subjected during standard integrated circuit fabrication processes.

Structures such as MOS capacitors and transistors fabricated for advanced devices have very thin (thickness < 200 Angstroms) gate oxides. These devices may be damaged by charge-up, resulting in gate breakdown. This can occur in a plasma process when neutralization of surface charge does not occur, by non-uniform plasma potential/or density, or by large RF displacement currents. Conductors such as interconnect lines may be damaged for similar reasons as well.

## **RF Systems**

20

5

Consider first prior art semiconductor processing systems such as CVD (chemical vapor deposition) and RIE (reactive ion etching) reactor systems. These systems may use radio frequency energy at low frequencies from about 10-500 KHz up to higher frequencies of about 13.56-40.68 MHz. Below about 1 MHz, ions and electrons can be accelerated by the oscillating electric field, and by any steady state electric field developed in the plasma. At such relatively low frequencies, the electrode sheath voltage produced at the wafers typically is up to one or more kilovolts peaks, which is much higher than the damage threshold of 200-300 volts. Above several MHz, electrons are still able to follow the changing electric field. More massive ions are not able to follow the changing field, but are accelerated by steady state electric fields. In this frequency range (and at practical gas pressures and power levels), steady state sheath voltages are in the range of several hundred volts to 1,000 volts or more.

## Magnetic Field-Enhancement

A favorite approach for decreasing the bias voltage in RF systems involves applying a magnetic field to the plasma. This B field confines the electrons to the region near the surface of the wafer and increases the ion flux density and ion current and, thus, reduces the voltage and ion energy requirements. By way of comparison, an exemplary non-magnetic RIE process for etching silicon dioxide might use RE energy applied at 13.56 MHz, an asymmetrical system of 10-15 liters volume, 6.65 x 10<sup>-5</sup> bar (50 millitorr) pressure and an anode area to wafer-support cathode area ratio of approximately (8-10) to 1, and develop wafer (cathode) sheath voltage of approximately 800 volts. The application of a magnetic field of 6 x 10<sup>-3</sup>T (60 gauss) may decrease the bias voltage approximately 25-30 percent, from 800 volts to about 500-600 volts, while increasing the etch rate by as much as about 50 percent.

However, the application of a stationary B field parallel to the wafer develops an E x B ion/electron drift and an associated plasma density gradient which is directed diametrically across the wafer. The plasma gradient causes non-uniform etching, deposition and other film properties across the wafer. The non-uniformities may be decreased by rotating the magnetic field around the wafer, typically either by mechanical movement of permanent magnets, or by using pairs of electromagnetic coils which are driven in quadrature, 90 degrees out of phase, or by instantaneously controlling the current in pairs of coils to step or otherwise move the magnetic field at a controlled rate. However, although rotating the field reduces the non-uniformity gradient, typically some degree of non-uniformity remains.

Furthermore, it is difficult to pack coils and, in particular, to pack two or more pairs of coils about a chamber and to achieve a compact system, especially when using a Helmholtz coil configuration and/or a multi-chamber system of individual magnetic-enhanced reactor chambers surrounding a common loadlock.

A unique reactor system which has the capability to instantaneously and selectively alter the magnetic field strength and direction, and which is designed for use in compact multi-chamber reactor systems, is disclosed in commonly assigned U.S. Patent 4,842,683, issued June 27, 1989, in the name of inventors Cheng et al.

,

## Microwave/ECR Systems

Microwave and microwave ECR (electroncylotron resonance) systems use microwave energy of frequencies >800 MHz and, typically, frequencies of 2.45 GHz to excite the plasma. This technique produces a high density plasma, but low particle energies which may be below the minimum reaction threshold energy for many processes, such as the reactive ion etching of silicon dioxide. To compensate, energy-enhancing low frequency electrical power is coupled to the wafer support electrode and through the wafer to the plasma. Thus, the probability of wafer damage is decreased relative to previous systems.

Microwave and microwave ECR systems operated at practical power levels for semiconductor wafer processing such as etch or CVD require large waveguide for power transmission, and expensive tuners, directional couplers, circulators, and dummy loads for operation. Additionally, to satisfy the ECR condition for microwave ECR systems operated at the commercially available 2.45 GHz, a magnetic field of 875 gauss is necessitated, requiring large electromagnets, large power and cooling requirements.

Microwave and microwave ECR systems are not readily scalable. Hardware is available for 2.45 GHz, because this frequency is used for microwave ovens. 915 MHz systems are also available, although at higher cost. Hardware is not readily or economically available for other frequencies. As a consequence, to scale a 5-6 in. microwave system upward to accommodate larger semi-conductor wafers requires the use of higher modes of operation. This scaling at a fixed frequency by operating at higher modes requires very tight process control to avoid so-called mode flipping to higher or lower order loads and resulting process changes. Alternatively, scaling can be accomplished, for example, for a 5-6 in. microwave cavity, by using a diverging magnetic field to spread out the plasma flux over a larger area. This method reduces effective power density and thus plasma density.

# HF Transmission Line System

European patent applications 91112905.4 and 91112917.5 are incorporated by reference. In these, references are disclosed a high frequency VHF/UHF reactor system in which the reactor chamber itself is configured in part as a transmission line structure for applying high frequency plasma generating energy to the chamber from a matching a network. The unique integral transmission line structure permits satisfaction of the requirements of a very short transmission line between the matching network and the load and permits the use of relatively high frequencies, 50 to 800 MHz. It enables the efficient, controllable application of RE plasma generating energy to the plasma electrodes for generating commercially acceptable etch and deposition rates at relatively low ion energies and low sheath voltages. The relatively low voltages reduce the probability of damage to electrically sensitive small geometry semiconductor devices. The VHF/UHF system avoids various other prior art shortcomings, such as the above-described scalability and power limitations.

An object of the present invention is to propose further improved RF plasma processing systems. This object is solved by the RF plasma process apparatuses of any one of the independent claims 1, 2, 3, 4, 5, 6, 9 and 11 and the process of independent claim 20. Further advantageous features, aspects and details of the invention are evident from the dependent claims, the description, examples and the drawings. The claims are intended to be understood as a first non-limiting approach of defining the invention in general terms.

The invention provides plasma reactor which uses a radio frequency (RF) energy source and a multiple coil antenna for inductively coupling the associated RF electromagnetic wave to the plasma.

In one aspect, the invention which overcomes prior art shortcomings is embodied in the construction and operation of an RF plasma processing system comprising a vacuum chamber having a source region and a processing region; means for inductively coupling RE electromagnetic energy into the processing chamber for generating a plasma within the chamber to fabricate an article such as a semiconductor wafer positioned, for example, at the coupling means or downstream relative to the coupling means; and a triode arrangement comprising an RF cathode in the processing region, an anode defined by the chamber walls, and a source region electrode which is electrically floating, grounded or connected to RF bias, for enhancing plasma processing. The construction of the source region electrode and/or the chamber walls defining the source region may include silicon for enhancing processes such as oxide etching.

Preferably, LF/VHF (low frequency to very high frequency) RF power within the range 100 KHz to 100 MHz is used. More preferably, LF/HF power within the range 100 KHz to 10 MHz is used. Most preferably, MF (medium frequency) power is used within the range 300 KHz to 3 MHz. Preferably, the coupling means is a multiple turn, cylindrical coil antenna of uncoiled electrical length <  $\lambda$ /4 where  $\lambda$  is the wavelength of the high frequency RF excitation energy applied to the coil antenna during plasma operation.

25

45

The invention also provides means connected to the antenna for tuning the antenna to resonance, as well as load means connected to the antenna to match the input impedance of the source to the output impedance of the means for supplying RF energy for the antenna. The tuning means may be a variable capacitance electrically connected between one end of the antenna and RF ground. The load means may be a variable capacitance electrically connected between the other end of the antenna coil and RF ground. RF energy may be applied via a tap at a selected location along the coil antenna.

In another aspect, the system includes a dielectric dome or cylinder which defines the source region. Preferably, the coil antenna surrounds the dome for inductively coupling the high frequency electromagnetic energy into the chamber. The article which is fabricated can be located within the source region or dome, within or closely adjacent the volume or the bottom turn of the antenna, or preferably, downstream of the antenna.

The invention also provides means for supplying gas to the chamber which comprises a gas inlet at the top of the dome, a first ring manifold at the base of the dome source region, and a second ring manifold surrounding at the wafer support electrode, for selectively supplying processing diluent, passivation and other gases to the chamber.

In yet another aspect, an AC power supply and control system capacitively couples AC bias power, typically of the same or similar frequency as the source coil power, to a wafer support cathode, thereby effecting control of the cathode sheath voltage and ion energy, independent of the plasma density control effected by the source radio frequency power. The system provides bias frequency selected to achieve a number of objectives. First, the upper frequency limit is selected to prevent "current-induced" damage (a too high frequency can cause charge-up damage to sensitive devices.) The lower frequency limit is selected in part to preclude "voltage-induced" damage. Lower frequency bias also yields higher wafer sheath voltages per unit bias power (less heating of substrates) and contributes less to plasma density and thus affords better independent control of ion density and energy. However, a too low bias frequency allows ions to follow the RF component of the wafer sheath electric field, thereby modulating ion energies. The result is a higher peak-to-average energy ratio and wider (double peak) ion energy distribution. Very low bias frequency causes insulator charge-up, inhibiting ion-induced processes during part of the bias frequency period. Conveniently, the preferred frequency ranges for satisfying the above considerations correspond to the source frequency ranges. That is, preferably LF/VHF (low frequency to very high frequency) power within the range 100 KHz to 100 MHz is used. More preferably, LF/HF power within the range 100 KHz to 10 MHz is used. Most preferably, MF (medium frequency) power is used within the range 300 KHz to 3 MHz.

The invention further provides control means for cyclically pulsing the DC bias voltage between low and high values selected, respectively, to form a passivation coating on a first selected material on the wafer for providing a relatively low etch rate of that material and for selectively etching a second selected material at a relatively high rate and selectivity.

In another aspect, the chamber is evacuated by a first vacuum pump means connected to the chamber proper and a second vacuum pump means connected to the dome for establishing a vertical pressure differential across the dome for establishing a flow of neutral particles out of the dome, and wherein the voltage at the wafer support electrode is sufficient to overcome the pressure differential so that charged particles flow toward the chamber proper.

Other aspects include a conductive, Faraday shield of different configurations which is interposed between the coil antenna or other coupling means and the chamber to prevent coupling of the electric field component of the high frequency electromagnetic energy into the chamber. Also, a high frequency reflector positioned surrounding the coil or other coupling means concentrates radiation of the high frequency energy into the chamber.

Magnetic enhancement is supplied by peripheral permanent or electromagnet arrangements which apply a controlled static magnetic field parallel to the axis of the antenna, selected from uniform, diverging and magnetic mirror configurations, for controlling the location of and the transport of the plasma downstream relative to the wafer. Also, magnets may be mounted around the source and/or the chamber for applying a multipolar cusp field to the chamber in the vicinity of the wafer for confining the plasma to the wafer region while substantially eliminating the magnetic field across the wafer. In addition, a magnetic shunt may be positioned surrounding the wafer and the wafer support electrode for diverting any magnetic field from the wafer support electrode.

The system construction permits scaling of its size by selecting the frequency of operation, while retaining low mode operation.

In other, process aspects, the invention is embodied in a process for generating a plasma, comprising providing a vacuum chamber having source and process regions; supporting an article on an electrode in

\_

the process region; supplying processing gas to the chamber; using a cylindrical coil antenna of more than one coil turn having an electrical length  $< \lambda/4$  wherein  $\lambda$  is the wavelength of RF energy applied to the antenna, inductively coupling RF energy into the source region for generating a plasma to fabricate one or more materials on the article; and capacitively coupling RF energy into the chamber via the support electrode for controlling sheath voltage at the support electrode.

The process also may encompass automatically and iteratively tuning the antenna to resonance and loading the input impedance thereof to the impedance of the RF energy supply for the antenna.

In another aspect, the process for generating a plasma comprises providing a vacuum chamber having source and process regions, and having walls, an electrode in the process region and an electrode in the source region; connecting the electrode in the process region, the walls of the chamber and the source electrode electrically, with the process region electrode being the cathode, the walls being the anode and the electrical connection of the source electrode being selected from ground, floating and RF or DC bias; supporting an article on the electrode in the process region; supplying processing gas to the chamber; using a cylindrical coil antenna of one or more coil turns and having an electrical length  $< \lambda/4$  where  $\lambda$  is the wavelength of RF energy applied to the antenna, inductively coupling RF energy into the source region for generating a plasma to fabricate one or more materials on the article; and capacitively coupling RF energy into the chamber via the support electrode for controlling sheath voltage at the support electrode.

At least one of the source electrode and the chamber wall in the source region may be or contain silicon and the source electrode may be RF biased, for freeing the silicon into the plasma to enhance the processing.

In another aspect, the antenna power and the bias power delivered to the electrode are controlled for selectively effecting anisotropic, semi-anisotropic and isotropic etching.

An aspect of the process encompasses etching silicon oxide in the presence of silicon, the use of silicon enhancement, and/or the use of additives such as CO and CO<sub>2</sub> for selectivity and etch profile enhancement. The process encompasses cyclically driving the bias voltage to a low value selected to form an etch suppressing layer on the silicon and to a high value to etch the silicon oxide at a high rate relative to the silicon.

The process according to a further aspect also provides sputter deposition of silicon oxide and the process of, first, applying relatively low level RF power to the support electrode for depositing silicon oxide and, second, applying relatively high level RF power to the support electrode for net sputter facet depositing silicon oxide and planarizing the silicon oxide.

Specific process aspects include but are not limited to etching oxide, including etching contact holes in oxide formed over polysilicon (polycrystalline silicon) and etching via holes in oxide formed over aluminum; so-called "light" etching of silicon oxide and polysilicon; high rate isotropic and anisotropic oxide etching; etching polysilicon conductors such as gates; photoresist stripping; anisotropic etching of single crystal silicon; anisotropic photoresist etching; low pressure plasma deposition of nitride and oxynitride; high pressure isotropic conformal deposition of oxide, oxynitride and nitride; etching metals, such as aluminum and titanium, and compounds and alloys thereof; and sputter facet deposition, locally and globally, and with planarization.

## Brief Description of the Drawings

50

55

FIGURES 1-3 are simplified sectional views of a plasma reactor chamber in accordance with the invention;

FIGS. 4-9 are schematic diagrams of tuning circuits for matching the impedance of a power generator with the impedance of a plasma load;

FIG. 10 is a graph showing how etch rates for silicon and silicon dioxide vary with increasing dc bias voltage in a plasma etching process;

FIG. 11 is a graph showing the waveform of a dc bias voltage in accordance with one aspect of the invention, wherein the bias voltage is periodically pulsed from a high base line value to a much lower value;

FIG. 12 is a graph showing the waveform of a dc bias voltage in accordance with another aspect of the invention, wherein the bias voltage is varied about an average value at a first frequency, and the amplitudes of the excursions of bias voltage are varied in accordance with a second frequency, lower than the first:

FIG. 13 is a diagrammatic view of the plasma processing chamber, showing an arrangement of magnets for enhancement of plasma density an uniformity;

FIGS. 14A-14D are diagrams showing how an axial magnetic field may be shaped with respect to a wafer

being processed, to enhance processing; and

FIGS. 15A and 15B are fragmentary diagrams depicting two alternative Faraday shield structures for reducing a steady state electrostatic field coupling to the plasma in the chamber; and

FIG. 16 is block diagram of an illustrative system for controlling the various components of plasma reactor of the invention.

## Detailed Description of the Preferred Embodiment(s)

## 1. OVERVIEW

5

10

FIGS. 1-3 are schematic sectional views of a plasma reactor chamber system 10 for processing a semiconductor wafer 5 which uses an inductive plasma source arrangement, a magnetically-enhanced plasma
source arrangement, a capacitively coupled bias arrangement and other aspects of the present invention.
The three figures illustrate preferred and alternative features of the system; three figures are used because
of drawing space limitations. The exemplary chamber is a modification of that depicted in the abovementioned co-pending incorporated patent applications, which include an integral transmission line structure. The salient features of the invention are applicable generally to plasma reactor chambers. Furthermore,
it will be understood by those of skill in the art and from the description below that various features of the
invention which cooperatively enhance the performance of the reactor system may be used separately or
may be selectively omitted from the system. For example, the process conditions provided by the inductive
plasma source arrangement and capacitively coupled bias source arrangement frequently eliminate any
need for magnetic enhancement.

The exemplary system 10 includes a vacuum chamber housing 11, formed of anodized aluminum or other suitable material, having sidewalls 12 and top and bottom walls 13 and 14. Anodized aluminum is preferred because it suppresses arcing and sputtering. However, other materials such as bare aluminum with or without a process-compatible liner of polymer or quartz or ceramic can be used. Top wall 13 has a central opening 15 between a lower chamber wafer processing section 16B defined between walls 12-12 and an upper chamber source section 16A defined by a dome 17. The dome may be configured as an inverted single- or double-walled cup which is formed of dielectric material such as, preferably, quartz or several other dielectric materials, including alumina and alpha-alumina (sapphire). In the preferred arrangement shown in FIG. 1, the dome 17 comprises a cylindrical wall 17W of dielectric such as quartz and a cover or top 17T typically of aluminum or anodized aluminum. For processes such as high selectivity oxide etching, a silicon or silicon-containing top wall means, and silicon covered dome sidewalls are preferred.

As shown in FIG. 1, the evacuation of the interior of the chamber housing 11 (chamber 16) is controlled by a throttle valve 18 (which regulates pressure independent of flow rate) in a vacuum line 19 which is connected to the bottom wall 14 and connects to a vacuum pumping system 21 comprising one or more vacuum pumps.

As described in Section 10, the chamber components, including the chamber walls and dome, can be heated and/or cooled for process performance. For example, the dome can be heated or cooled by a liquid or gas heat transfer medium, or heating elements can be used to heat the dome directly.

As described in Section 2 and depicted in FIG. 2, process gases, purge gases, diluents, etc., can be supplied to the chamber by three manifold injection sources, G1, G2, G3, located, respectively, at the base of the source (dome), the top plate 17T of the source, and peripheral to the wafer. The gases are supplied to the chamber 11, for example, typically from one or more sources of pressurized gas via a computer-controlled flow controller (not shown). At the main gas inlet manifold G1, the gases enter the internal vacuum processing chamber 16, as indicated at 22, through a quartz ring 51 gas manifold 52, which is mounted on the inside of or integral with, top wall 13. The manifold 52 preferably supplies etching gas and/or deposition gas at a slight upward angle to the chambers/chamber sections 16B and 16A for developing an etching and/or deposition plasma upon application of RF energy. A top manifold arrangement G2 in the top plate 17T of the dome 17 may be used to inlet reactant and other gases into the chamber 16. Also, a manifold arrangement G3 may be provided which is peripheral to the wafer to supply reactant and other gases.

RF energy is supplied to the dome by a source comprising an antenna 30 of at least one turn or coil which is powered by an RF supply and matching network 31. The antenna 30 preferably has a multiple turn cylindrical configuration. The coil 30 defines a minimum conductor electrical length at a given frequency and a given source (coil) diameter and preferably has an electrical length less than one-quarter wavelength ( $\langle \lambda/4 \rangle$ ) at the operating frequency. By itself, the antenna 30 is not a resonator but is tuned to resonance as described below in Section 5 for efficient inductive coupling with the plasma source by Faraday's law of

inductive coupling.

Preferably, the gas flow from the chamber source section 16A is downward toward the wafer 5 and is then pumped radially outward from the wafer. To this end, an annular vacuum manifold 33 may be defined about cathode transmission line structure 32, between chamber wall 12 on one side and the outer transmission line conductor 320 on the other, and between the chamber bottom wall 14 on the bottom and a conductive pumping screen 29 on the top. The manifold screen 29 is interposed between the vacuum manifold 33 and the wafer processing chamber 16B and provides a conductive electrical path between chamber walls 12 and the outer conductor 320 of the transmission line structure 32. The manifold 33 defines an annular pumping channel for implementing uniform radial pumping of exhaust gases from the periphery of wafer 5. The exhaust manifold 33 communicates into the exhaust gas system line 19. The gas flow is along paths 22 from manifold G1 into the dome/source and/or along path 24 from manifold G2 into the dome/source and/or along paths 26 from manifold G3 radially inward toward the wafer 5. The overall gas flow is along path 34 from the upper chamber source section 16A toward wafer 5, along path 36 from the wafer and through screen 29 into the gas outlet manifold 33, and along path 37 from the exhaust manifold 33 to the exhaust system 21. It should be noted that the conductive manifold screen 29 and the cathode transmission line structure are optional. Typically, at the low end of the frequencies of interest, the wavelength is very long and, thus, the transmission line structure is unnecessary.

This contrasts with conventional RF system arrangements, in which the RF power is applied between two electrodes, typically the wafer support electrode 32C, the upper surface of which supports wafer 5, and a second electrode which is the sidewalls 12, top wall 13 and/or manifold 23 of the reactor chamber.

Specifically, the antenna 30 is positioned outside and adjacent the dome 17 and the plasma chamber 16A for coupling the RF electromagnetic (em) energy into the source chamber 16A to induce electric fields in the process gas. By Faraday's Law of induction coupling, the changing B (magnetic) component of the em energy energizes the process gas and thus forms a plasma in chamber 16 (numeral 16 collectively designates the chamber 16A and 16B and the plasma) characterized by relatively high density and low energy ions. The plasma is generated in the dome 17 concentrated in the small volume defined within the coil antenna 30. Active species including ions, electrons, free radicals and excited neutrals move downstream toward the wafer by diffusion and by bulk flow due to the prevailing gas flow described herein. Also, as described in Section 7 an appropriate magnetic field can be used to extract ions and electrons toward the wafer as described below. Optionally, but preferably, a bias energy input arrangement 41, FIG. 1, comprising a source 42 and a bias matching network 43 couples RF energy to the wafer support electrode 32C for selectively increasing the plasma sheath voltage at the wafer and thus selectively increasing the ion energy at the wafer.

A reflector 45 which essentially is an open-bottom box encloses the antenna at the top and sides but not at the bottom. The reflector prevents radiation of the RF energy into free space and thereby concentrates the radiation and dissipation of the power in the plasma to enhance efficiency.

As described in detail in Section 7, a Faraday shield 45, FIG. 3, may be positioned just inside, above and below the antenna 30 to permit the magnetic field coupling to the plasma but preclude direct electric field coupling, which could induce gradients or non-uniformities in the plasma, or accelerate charged particles to high energies.

As described in Section 8, optionally, one or more electromagnets 76,76, FIG. 13, or permanent magnets are mounted adjacent the chamber enclosure 11 for providing a magnetic field for enhancing the density of the plasma at the wafer 5, for transporting ions to the wafer, or for enhancing plasma uniformity.

As is described fully in Section 4, the invention uses the magnetic component of inductively coupled electromagnetic energy, typically at frequencies much lower than microwave or microwave-ecr frequencies, to induce circular electric fields inside a vacuum chamber for generating a plasma characterized by high density and relatively low energy, without coupling potentially damaging high power RF energy through the wafer 5. In the preferred, illustrated downstream plasma source arrangement, the RF energy is fully absorbed remote from the wafer, with high plasma density, ensuring that the wave does not propagate to the wafer and thus minimizing the probability of damage. Selectively, and optionally, RF bias energy is applied to the wafer support electrode 32C for increasing the wafer sheath voltage and, thus, the ion energy, as required.

The chamber 11 is capable of processing semi-conductor wafers -- deposition and/or etching -- using total chamber pressures of about  $1.33 \times 10^{-7}$  bar to about  $6.65 \times 10^{-2}$  bar (about 0.1 mT to about 50 torr), and, for etching, typically  $1.33 \times 10^{-7}$  bar to  $2.66 \times 10^{-4}$  bar (0.1 mT to 200 mT). Our chamber can operate at pressures < $6.65 \times 10^{-6}$  bar (< 5 millitorr) and, in fact, has run successfully at  $2.66 \times 10^{-6}$  bar (2 millitorr). However, higher pressures are preferred for certain processes because of the increased pumping speed and higher flow rates. For example, for oxide etching a pressure range of about  $6.65 \times 10^{-6}$  bar to

about 6.65 x 10<sup>-5</sup> bar (about 5mT (millitorr) to about 50 mT) is preferred.

Such relatively high pressures require close spacing between the source and the wafer. The chamber can operate successfully at very suitable, close spacing, d, between the wafer 5 and the bottom turn of the antenna 30 of about 5 centimeters/ 2 inches without charge-up damage to sensitive devices and, thus, is able to realize the advantages of such very close spacing: enhanced etch rates and selectivity; reduced bias voltage requirement and ion energy requirement for a given etch rate; and enhanced etch uniformity across the wafer. For example, reducing the spacing, d, between the wafer 5 and the source antenna 30 from 10 cm/4 in (which itself is close spacing) to 5 cm/2 in has reduced the voltage requirement by half and has increased the uniformity from about 2.5 percent to about 1 percent.

# 2. MULTIPLE GAS INJECTION

10

30

45

As mentioned, our chamber incorporates multiple gas injection sources G1, G2, G3, FIG. 2, for the purpose of injecting reaction, purge, etc., gases at different locations to enhance a particular process according to the requirements of that process (etching, deposition, etc.) and the particular material(s) used in that process. First, the chamber includes a standard radial gas distribution system G1 at the periphery of the base/bottom of the source region 16B. In a presently preferred configuration, the G1 injection system comprises a quartz gas distribution ring 51 at the bottom of the source and a peripheral annular manifold 52 defining a distribution channel which feeds gas to the ring. The ring has inward facing radial holes 53-53 and, preferably, stepped sintered ceramic porous gas diffuser plugs 54-54 inserted in the holes to prevent hollow cathode discharge.

The second gas injection arrangement, G2, comprises a grounded or floating or biased dome top plate 17T of material such as anodized aluminum having a center gas inlet hole 56 filled with a porous ceramic diffuser disk 57.

The third gas injection source, G3, comprises a ring-shaped gas inlet manifold 58 mounted at the periphery of the wafer 5 (or a gas inlet incorporated into the clamp ring (not shown) used to hold the wafer in position against the support pedestal).

# Example: Etching Silicon Oxide Over Polysilicon Using Polymer-Enhanced Selectivity

As alluded to above, various types of gases selected from etchant and deposition species, passivation species, diluent gases, etc., can be supplied to the chamber via one or more of the sources G1 through G3, to satisfy the requirements of particular etch and deposition processes and materials. For example, the present inductive source antenna 30 provides a very high density plasma and is very effective in dissociating the gases in the dome source region 16A of the chamber. As a consequence, when a polymerforming species is supplied to the dome via G1, or G2, the highly dissociated species may coat the interior of the dome at the expense of coating the polysilicon and/or may be so fully dissociated that it does not adhere to the polysilicon surface which is to be protectively coated. A solution is to inlet etchant species such as C<sub>2</sub>F<sub>6</sub> or CF<sub>4</sub> into the source region 16A via G1 or G2 or via G1 and G2, and supply a polymer-forming species such as CH<sub>3</sub>F or CHF<sub>3</sub> via inlet G3, to form a polymer preferentially on the poly without destructive dissociation.

## Example: Etching Silicon Oxide Over Polysilicon Using Silicon-Containing Gas Chemistry

Because of the high dissociation of the gases in the source region, fluorine-containing gases (even those in which the fluorine is tied up with carbon) typically produce free fluorine which etches silicon and, thus, reduces the etch selectivity for oxide. When high selectivity is required, a silicon-containing additive gas can be injected to tie up the free fluorine and diminish its silicon etching. The etchant gas and the silicon-containing additive gas can be introduced separately via G1 and G2 or can be introduced as a mixture via G1 and/or G2. Suitable fluorine-consuming silicon-containing additive gases include silane (SiH<sub>4</sub>), TEOS, diethylsilane and silicon tetrafluoride (SiF<sub>4</sub>).

The fluorine-consuming and polymer-forming additive gases can be used together in the same process to jointly enhance etch selectivity.

## 5 Example: Silicon Oxide Deposition

Deposition rate is enhanced by supplying the oxygen-containing species and a diluent such as O<sub>2</sub> and Ar<sub>2</sub> via G1 and/or G2 and supplying a silicon-containing gas such as SiH<sub>4</sub>, via G3.

## 3. DIFFERENTIAL PUMPING

FIG. 3 depicts an alternative vacuum pumping configuration. In addition to the vacuum pumping system 21 which is connected to or near the bottom of the chamber, a vacuum pump 39 is connected via line 38 to the source region 16A inside the dome 17. The flow rates of the pumping systems 39 and 21 are selected so they generate vertically across the source region 16B a pressure differential,  $\Delta P_p$ , which (1) opposes the transport of uncharged particles from the source 16A to the wafer 5, yet (2) is of lesser magnitude than the force,  $F_b$ , exerted by the bias voltage on charged particles such as electrons and ions. As a consequence of  $\Delta P_p$ , uncharged particles such as radicals do not reach the wafer 5, but rather flow predominantly out the top vacuum connection 38. As a consequence of  $F_{DC}$ ,  $>\Delta P_p$ , charged electrons and ions flow predominantly to the processing region. This approach is useful, obviously, where it is desired to selectively keep radicals but not ions out of the wafer processing region. That situation occurs, for example, (1) during etching which uses polymer-forming gas chemistry, but polymers are formed in the source region which adhere to the chamber sidewalls and/or do not adhere well to the desired wafer surface and/or (2) when fluorine radicals are formed in the source region.

## 4. RF POWER, TOP AND BIAS SOURCES

## 1). Top or Antenna Source

20

Referring to FIG. 1, preferably, the operating frequency of the RF power supply 31 for the top source 30 is selected to provide a dense plasma, to minimize damage to sensitive devices and to provide efficient inductive coupling of the RF power to the plasma. Specifically, the upper frequency of the operating range is limited to minimize "current-induced" damage. The lower limit of the operating frequency is selected for efficiency of RF power coupling to the plasma. Preferably, LF/VHF (low frequency to very high frequency) AC power within the range about 100 KHz to 100 MHz is used. More preferably, LF/HF (low frequency to high frequency) power within the range 300 KHz to 3 MHz is used.

## 2). Bottom or Bias Source

The AC power supply 42 for the wafer support cathode 32C, capacitively couples RF power to the plasma, thereby effecting control of various factors including cathode sheath voltage and ion energy, which are controlled independent of the plasma density control effected by the high frequency power. The bias frequency is selected to achieve a number of objectives. First, the upper frequency limit is selected to prevent current-induced charge-up damage to sensitive devices. A lower frequency is selected in part to preclude voltage-induced damage. Lower frequency bias also yields higher wafer sheath voltages per unit bias power (less heating) of substrates and contributes less to plasma density and, thus, affords better independent control of ion density and energy. However, a too low bias frequency allows ions to follow the RF component of the wafer sheath electric field, thereby modulating ion energies. The result would be a higher peak-to-average energy ratio and wider (peak-to-peak) ion energy distribution. Very low bias frequency causes insulation charge-up, inhibiting ion-induced processes during part of the bias frequency control.

We have discovered that, conveniently, the above considerations can be satisfied using bias frequency ranges which correspond to the source frequency ranges. That is, preferably the bias power is within the range about 100 KHz to about 100 MHz (LF/VHF frequencies). More preferably, the frequency of the bias power is within the range about 100 KHz to about 10 MHZ (LF/HF frequency). Most preferably, the frequency of the bias power is within the range 300 KHz to 3 MHz (MF frequencies).

# 3). Combined Operation of Top and Bias Sources

A preferred feature of the invention is to automatically vary the bottom or bias power supplied by power supply 42 to maintain a constant cathode (wafer) sheath voltage. At low pressures (<500 mt) in a highly asymmetric system, the DC bias measured at the cathode 32C is a close approximation to the cathode sheath voltage. Bottom power can be automatically varied to maintain a constant DC bias. Bottom or bias power has very little effect on plasma density and ion current density. Top or antenna power has a very strong effect on plasma density and on current density, but very small effect on cathode sheath voltage. Therefore, it is desired to use top power to define plasma and ion current densities, and bottom power to

define cathode sheath voltage.

Because the radio frequency of the source 31 driving the antenna 30 is nonetheless much lower than the frequencies used in microwave or microwave-ECR applications, the optional smaller magnets operated at lower DC current by less expensive power supplies can be used, with associated smaller heat loads. In addition, as is obvious from the above discussion, coaxial cable such as 31C can be used instead of wave guides. In addition, the plasma non-uniformities caused by the E x B electron drift in other magneticenhanced or assisted systems are absent here, because the applied magnetic fields (both the magnetic component of the HF field applied via the antenna 30 and any static magnetic field applied by magnets 81) are substantially parallel to the electric field at the cathode. Thus, there is no E x B drift in the system.

A magnetic shunt path formed with a high permeability material may be used to allow a B field in the source (upper chamber 16A) but not at the wafer.

Optionally, permanent or electromagnets may be placed in a multi-polar arrangement around the lower chamber 16B, typically in an alternating pole north-south-north-south...north-south arrangement, to generate a multi-cusp magnetic mirror at the source and/or chamber walls. The magnets may be vertical bar magnets or preferably horizontal ring magnets, for example. Such magnets may be used to reduce electron losses to the walls, thus enhancing plasma density and uniformity, without subjecting the wafer to magnetic fields.

## 4) Combination and Synchronism of RF Sources

20

10

As indicated above, the preferred frequency of operation of the top or antenna RF source and the preferred frequency of operation of the bottom or bias RF source both fall conveniently into the same range. One optional configuration approach is to combine these two RF sources into one single source, instead of using two separate sources. More generally, the possibilities are to supply all three RF signals (including RF bias to the third or top electrode) from a single source, or to use one source for the antenna and bottom bias and a second source for the third electrode, or to use three separate sources. To the extent that separate sources are used, additional considerations are whether the separate RF signals should be equal in frequency and, if so, whether they should be locked in some desired phase relationship. Preliminary study indicates that the answers to these questions depend primarily on the selected frequencies of operation. If a single frequency can be conveniently chosen for two or three of the RF sources, and if the frequency is unlikely to be changed for different processes for which the system is used, then a single RF source is the logical choice. If different frequencies are needed for the sources, based on the considerations discussed in subparagraphs 1-3 above, or if the frequencies may need to be changed for use in different processes, then separate RF sources will be needed. In the case where there are separate sources and the 35 same frequency is selected, phase locking is an issue. For example, the sources may be synchronized such that the phase angle between the RF voltage input to the antenna and the RF voltage input to the bottom or wafer electrode is maintained at a constant value that is chosen to optimize process repeatability. At higher frequencies, such as above about 10 MHz, operation appears to be independent of phase or frequency locking.

40

45

#### 5. ANTENNA TUNE AND LOAD

## 1) Tuning

Typically, the antenna 30 is tuned to resonance by (1) varying the frequency of the generator 31 to resonate with the antenna; or (2) a separate resonating element, connected to the antenna for tuning to resonance. For example, this tuning element can be a variable inductance-to-ground or a variable capacitance-to-ground.

Please note, inductive and capacitive tuning decreases the resonant frequency. As a consequence, it is desirable to build the system to the highest desirable resonant frequency to accommodate the decrease in resonant frequency when using capacitance or inductance tuning variables.

Automatic tuning is preferred and may be executed by using an impedance phase/magnitude detector to drive the tune/load variables. See FIG. 16 and Section 9. Alternatively, a reflected power bridge or VSWR bridge may be used to drive both tune and load variables, but iteration is required.

55

## 2) Loading

Conductive, capacitive or inductive load means L can be used to match the source antenna 30 to the

impedance of the RF generator 31 and the connecting coaxial cable 31C. For example, a tap or wiper may be ohmically contacted to the antenna close to or at the 50 ohm or 300 ohm or other generator output impedance location along the antenna. Alternatively, a variable inductance or a variable capacitance may be connected to the generator output impedance point 50 on the antenna.

## 3). Tune and Load Circuits

5

25

30

Referring to FIGS. 4 to 9, preferably, tune means T is provided which is integral to the source antenna 30 to tune the source to resonance. Also, integral load means L is provided to match the input impedance of the source antenna 30 to the output impedance of the associated power generator 31 (or transmission line 31C). Referring to FIG. 4, in one aspect, the tune means T is a variable capacitance which is electrically connected between one end of the antenna 30 and RF ground.

As shown in FIG. 5, in another aspect the load means L may be a variable capacitance which is electrically connected between one end of the antenna and RF ground. Also, the load means may be a variable position tap 60 which applies RF input power to the antenna. See FIG. 6.

In a preferred combination shown in FIG. 7, the tune means T is a variable capacitance which is electrically connected between one end of the antenna 30 and RF ground and the load means L is another variable capacitance which is electrically connected between the other end of the antenna and RF ground. In this arrangement, the RF input power can be applied to the antenna via a tap, that is, by a tap 60 applied along the antenna or at either end thereof. See FIG. 8. Alternatively, the RF power input connection 66 can be positioned at substantially the connection between the load variable capacitance L and the end of the antenna 30, as shown in FIG. 9.

# 6. SOURCE/BIAS PROCESS CONTROL

The invention also incorporates the discovery that the etch rate of materials such as silicon dioxide is increased and the etch selectivity of silicon dioxide relative to materials such as silicon is increased by using a sufficiently high bias voltage to provide a high silicon dioxide etch rate and periodically pulsing the bias voltage to a low value.

# 1) Pulse/Modulated Bias-Enhanced Etch Rate and selectivity

Referring to FIG. 10, typically the etch rates of materials such as silicon dioxide, SiO<sub>2</sub>, increase with the bias voltage. Thus, increasing the bias voltage increases the etch rate of the oxide. Unfortunately, however, the etch rates of associated materials in the integrated circuit structure such as silicon/polysilicon also increase with the bias voltage. Thus, the use of a bias voltage of sufficient magnitude to provide a very high silicon dioxide etch rate also effects a silicon etch rate which (although somewhat lower than the oxide etch rate) is undesirably high and reduces selectivity. Quite obviously, when etching silicon dioxide it is highly desirable to have the high oxide etch rate characteristic of high DC bias voltages, V<sub>h</sub>, combined with the relatively low silicon etch rate characteristic of low DC bias voltages, V<sub>h</sub>, and, thus, high oxide selectivity.

Referring to DC bias voltage wave form 70 in FIG. 11, the seemingly contradictory goals expressed in the previous paragraph of combining the  $V_h$  and  $V_l$  characteristics are, in fact, achieved in polymer-forming etch processes (those processes which form an etchsuppressant polymer on materials such as silicon) by using a high base line DC bias voltage,  $V_h$ , and periodically pulsing or modulating the voltage to a low value,  $V_l$ .  $V_l$  is at or below the crossover point/voltage 68, FIG. 10, between silicon etching and silicon deposition, yet is at or above the oxide crossover point/voltage 69. As a result, a protective polymer is deposited on the silicon to suppress etching thereof during return to the high rate etch voltage,  $V_h$ , but no or insufficient deposition occurs on the oxide to significantly suppress the etching of the oxide at  $V_h$ . Preferably,  $V_l$  is characterized by deposition on the poly, but at least slight etching of the oxide. In a presently preferred embodiment, the values of the parameters,  $V_h$  (the high DC bias voltage),  $V_l$  (the low DC bias voltage), Pw (the pulse width of the low voltage,  $V_l$ ), and  $P_{rp}$  (the pulse repetition rate or combined width of the low voltage and the high voltage pulses) are, respectively, -400 V, -225 V, about 0.1 seconds, and about 1 second.

## 2) Dual Frequency Bias

An alternative approach is depicted by DC bias voltage wave form 71 in FIG. 12. A relatively low frequency voltage variation is superimposed on the basic bias voltage frequency. For example, a slow

40

impedance of the RF generator 31 and the connecting coaxial cable 31C. For example, a tap or wiper may be ohmically contacted to the antenna close to or at the 50 ohm or 300 ohm or other generator output impedance location along the antenna. Alternatively, a variable inductance or a variable capacitance may be connected to the generator output impedance point 50 on the antenna.

# 3). Tune and Load Circuits

Referring to FIGS. 4 to 9, preferably, tune means T is provided which is integral to the source antenna 30 to tune the source to resonance. Also, integral load means L is provided to match the input impedance of the source antenna 30 to the output impedance of the associated power generator 31 (or transmission line 31C). Referring to FIG. 4, in one aspect, the tune means T is a variable capacitance which is electrically connected between one end of the antenna 30 and RF ground.

As shown in FIG. 5, in another aspect the load means L may be a variable capacitance which is electrically connected between one end of the antenna and RF ground. Also, the load means may be a variable position tap 60 which applies RF input power to the antenna. See FIG. 6.

In a preferred combination shown in FIG. 7, the tune means T is a variable capacitance which is electrically connected between one end of the antenna 30 and RF ground and the load means L is another variable capacitance which is electrically connected between the other end of the antenna and RF ground. In this arrangement, the RF input power can be applied to the antenna via a tap, that is, by a tap 60 applied along the antenna or at either end thereof. See FIG. 8. Alternatively, the RF power input connection 66 can be positioned at substantially the connection between the load variable capacitance L and the end of the antenna 30, as shown in FIG. 9.

# 6. SOURCE/BIAS PROCESS CONTROL

25

30

The invention also incorporates the discovery that the etch rate of materials such as silicon dioxide is increased and the etch selectivity of silicon dioxide relative to materials such as silicon is increased by using a sufficiently high bias voltage to provide a high silicon dioxide etch rate and periodically pulsing the bias voltage to a low value.

# 1) Pulse/Modulated Bias-Enhanced Etch Rate and selectivity

Referring to FIG. 10, typically the etch rates of materials such as silicon dioxide,  $SiO_2$ , increase with the bias voltage. Thus, increasing the bias voltage increases the etch rate of the oxide. Unfortunately, however, the etch rates of associated materials in the integrated circuit structure such as silicon/polysilicon also increase with the bias voltage. Thus, the use of a bias voltage of sufficient magnitude to provide a very high silicon dioxide etch rate also effects a silicon etch rate which (although somewhat lower than the oxide etch rate) is undesirably high and reduces selectivity. Quite obviously, when etching silicon dioxide it is highly desirable to have the high oxide etch rate characteristic of high DC bias voltages,  $V_h$ , combined with the relatively low silicon etch rate characteristic of low DC bias voltages,  $V_h$ , and, thus, high oxide selectivity.

Referring to DC bias voltage wave form 70 in FIG. 11, the seemingly contradictory goals expressed in the previous paragraph of combining the  $V_h$  and  $V_l$  characteristics are, in fact, achieved in polymer-forming etch processes (those processes which form an etchsuppressant polymer on materials such as silicon) by using a high base line DC bias voltage,  $V_h$ , and periodically pulsing or modulating the voltage to a low value,  $V_l$ .  $V_l$  is at or below the crossover point/voltage 68, FIG. 10, between silicon etching and silicon deposition, yet is at or above the oxide crossover point/voltage 69. As a result, a protective polymer is deposited on the silicon to suppress etching thereof during return to the high rate etch voltage,  $V_h$ , but no or insufficient deposition occurs on the oxide to significantly suppress the etching of the oxide at  $V_h$ . Preferably,  $V_l$  is characterized by deposition on the poly, but at least slight etching of the oxide. In a presently preferred embodiment, the values of the parameters,  $V_h$  (the high DC bias voltage),  $V_l$  (the low DC bias voltage), Pw (the pulse width of the low voltage,  $V_l$ ), and  $P_{rp}$  (the pulse repetition rate or combined width of the low voltage and the high voltage pulses) are, respectively, -400 V, -225 V, about 0.1 seconds, and about 1 second.

## 2) Dual Frequency Bias

An alternative approach is depicted by DC bias voltage wave form 71 in FIG. 12. A relatively low frequency voltage variation is superimposed on the basic bias voltage frequency. For example, a slow

40

frequency,  $T_2$ , < 25 KHz (preferably, 5 - 10 KHz) may be superimposed or mixed with the base radio frequency,  $T_1$ , < 2 MHz. Silicon oxide is an insulator; silicon/polysilicon, typically, has only a very thin native oxide layer. Thus, the low frequency  $T_2$  DC bias voltage variations are not seen at the oxide surface because it charges up. However, the essentially uninsulated poly responds to the low frequency  $T_2$  in a manner similar to that described previously by forming a protective layer during the low voltage excursion 72 ( $V_1$ ) of the low frequency,  $T_2$ , cycle. This low frequency-formed layer inhibits etching during the variable high voltage excursions 73 of the high frequency,  $T_1$ , cycles. As mentioned, the insulating nature of silicon dioxide prevents etch suppressing deposition thereon during the low voltage excursions of  $T_2$  and the oxide etch proceeds unabated during the high voltage portions of the  $T_1$  cycle.

In short, a protective layer is formed on the silicon during the low voltage excursions 72 of the low frequency cycle, T<sub>2</sub>, suppressing silicon etching during the high voltage excursions 73 of the high frequency cycle, T<sub>1</sub>, which etches the oxide rapidly without deposition suppression. The result, similar to that for the pulse/modulated approach described above, is a high silicon oxide etch rate, a relatively low overall silicon etch rate and high etch selectivity for oxide. Please note, the pulsed/modulated approach is presently preferred to the dual frequency bias approach because of the precisely controlled nature of the former approach.

## 7. FARADAY SHIELD

10

20

35

Consider the typical antenna 30 coil configuration with the load capacitor L at the input end and the tune capacitor T at the far end and with the voltage relatively low at the input end and much higher at the far end. The bottom coil turns, which are closer to ground, are connected to the low voltage RF input. Typically, a plasma is struck by the electrostatic field associated with the relatively high voltage turns near the tune end, which initiates the plasma by electrostatically initiating breakdown of the gas. Following initiation of breakdown, the coupling to the plasma becomes mainly electromagnetic, that is inductive. Such operation is well known. Under steady state conditions, typically both electrostatic coupling and electromagnetic inductive coupling exist. Although the electromagnetic coupling dominates, some processes may be sensitive to the electrostatic field. For example, etching of polysilicon requires low energy particles and low energy bombardment to avoid etching any oxide.

Referring to FIGS. 1 and 15, to decrease the steady state electrostatic field, our chamber optionally incorporates a Faraday shield 45. In one embodiment shown in FIG. 15A, the structure is what we term a "single" Faraday shield 45S comprising a cylindrical array of grounded spaced, axially extending posts or bars, etc., surrounding the dome walls 17W and antenna 30. The single shield may vary from large spacing configurations to those having very small gaps between the shield sections.

FIG. 15B depicts a so-called "full" Faraday shield 45F comprising a pair of concentric shields spaced so that the bars of one overlap the gaps of the other and vice versa. This precludes line of sight paths for the electric field lines through the shield and thereby shunts the electrostatic field.

Although various configurations of the Faraday shields 45S and 45F are possible, the presently preferred configuration is the outwardly flanged, electrically conductive, open-ended cylinder configuration depicted in vertical cross-section in FIG. 1. The single or double wall apertured shield surfaces 46, 47, 48 extend around the top, inner (source) and bottom sides, respectively, of the antenna while a ground side 49 (which may be solid) is positioned at the outside of the antenna. This configuration allows the axiallydirected, magnetic component of the em wave from the antenna 30 to induce closed loop electric fields in and parallel to the plane of the antenna, which generate the plasma 16. However, the shield 45 capacitively shunts the direct electric field component to ground and prevents the direct electric field component of the high frequency electromagnetic energy from coupling to the plasma. Without the shield 45, the varying voltage along the antenna would couple to the plasma in accordance with Maxwell's equations for capacitive displacement current coupling. This may induce non-uniformities and gradients in the plasma density and in the energy across the wafer 5 and result in process non-uniformity and high energy charged particles. Faraday's Law expressed in integral form requires that a changing magnetic field through a surface results in closed electric fields in that surface. Maxwell's equations that describe the phenomenon in differential form specify that the curl of the induced electric field is proportional to the negative time rate of change of the magnetic field. For sinusoidal excitation, the curl of the induced E is proportional to the radiant frequency of the changing B field as well as its peak amplitude.

In short, a discontinuous or slitted or sectioned Faraday shield minimizes the shorting effect of the shield on the changing em field from the coil, reduces eddy current losses, and allows coupling of the radio frequency, axially directed fringing magnetic field to the plasma for inducing closed loop electric fields which generate the plasma, but precludes direct coupling of the electric field (which varies along the

antenna) to the plasma and, thereby, precludes any associated loss of plasma uniformity and process uniformity for high energy charged particles.

# 8. MAGNETIC FIELD CONFINEMENT AND ENHANCEMENT

# 1) Confinement

5

To reduce losses (decreased plasma density) at the walls 17W of the cylinder/dome source, a magnetic arrangement is provided which generates a peripheral annular (shallow) field. In a preferred arrangement, shown in the FIG. 13 horizontal section representation, this field is provided by a closely-spaced "bucket" or cylindrical multi-polar array of axially-oriented permanent magnets or electromagnets 76-76, each of which is magnetized across its small dimensions to form a closed, alternating pole, peripheral -N-S-N-S-magnetic field B. The multi-polar array generates a multi-cusp magnetic mirror 77 at the dome wall. Alternatively, the array may be horizontal ring magnets. Such magnets reduce electron losses to the walls 17W, thus enhancing plasma density and uniformity without subjecting the wafer to magnetic fields.

Optionally and similarly, permanent or electromagnets may be positioned in a multi-polar array around the lower chamber 16A, typically in the alternating pole north-south-north-south...north-south arrangement, to generate a multi-cusp magnetic mirror at the chamber walls. The magnets may be vertical bar magnets or preferably horizontal ring magnets, for example. Such magnets may be used to reduce electron losses to the walls, thus enhancing plasma density and uniformity, without subjecting the wafer to magnetic fields. In addition, a radial array of magnets can be mounted on the top of the dome or on the top plate 17T of the cylindrical source to reduce losses at the top.

Referring to FIG. 3, in another aspect, the plasma in the substrate processing region 16B can be decoupled from the plasma in the generating or source region 16A by positioning a generally planar grid of magnets at the bottom of the source region/top of the processing region. The magnetic grid comprises closely--spaced generally parallel magnetic bars 78-78 which, like the above-described bucket arrangement, are magnetized NS across their small dimension to provide a planar configuration -NS-NS-magnetic field with the field lines originating at one bar and terminating at the next. The resulting generally planar magnetic filter 79 across the opening 15 of the source confines the magnetic field to the plane/region of the plate and does not penetrate into either the source or wafer region.

Due to the relationship  $F = qV \times B$ , high energy/high velocity electrons in the source are bent back or repelled by this magnetic field 79 to a greater extent than are ions, and are not able to penetrate to the substrate processing region. This reduces the density of high energy electrons in the processing region 16B and decreases the plasma density in that region. The processing and source regions are decoupled.

This filter magnetic confinement approach is particularly useful for decoupling the plasma region in a compact system. That is, it is useful, for example, for providing a high radical density without high ion density at the substrate, while retaining compactness. In contrast, the conventional approach would require increasing the distance between the substrate and the source to the detriment of compactness. In one preferred arrangement, the filter magnetic confinement is implemented in a machined aluminum plate having hollow bars for air cooling and long thin magnets therein.

The bucket magnet confinement arrangement and the filter magnetic confinement arrangement can be used together.

## 2) Enhancement

35

45

As mentioned above, one or more (preferably, at least two) permanent or electromagnets 81-81, FIG. 3, may be used to define a static, generally axial magnetic field orthogonal to and through both the horizontal plane of the antenna coils and the electric fields induced by the radio frequency RF radiating antenna. Preferably, as described below, one of three field-types is used: uniform, divergent or magnetic mirror.

Referring to FIG. 14A, a homogenous, axial uniform magnetic field 82 applied orthogonally to the wafer 5 by the magnets 81-81 restricts the motion of the electrons to the walls. Because of the inability of ions to follow high frequency field variations, the ions follow the electron deficiency, and are concentrated in the plasma over the wafer. For maximum efficiency, this and other static magnetic fields can be tuned to resonance with the high frequency electromagnetic field: omega =  $2 \pi F = Be/m$ , where B is the magnetic flux density and e and m are the electron charge and mass, respectively.

An axially divergent field 83 is depicted schematically in FIG. 14B. By the conservation of magnetic moment, the axial gradient of the magnetic field converts circular translational energy to axial translational energy and tends to drive the electrons and ions from the stronger field regions to the weaker regions

4.4

thereof. Diverging magnetic fields can be used to push the electrons and ions from the plasma generating regions and to concentrate the plasma at the wafer.

Referring to FIGS. 14C and 14D, there are shown, respectively, a bulging or aiding magnetic field 84 (FIG. 15C) and a cusp-shaped or opposing field 85 (FIG. 15D). The effect of each of these so-called "magnetic mirror" fields is similar to that of the axially divergent field: charged particles are driven from the relatively strong field regions (t the ends here) toward the relatively weak central region.

Selectively positioning the magnet(s) and selecting and varying the strength of the fields provided by the single magnet or cooperating magnets shapes the associated uniform, diverging, or magnetic mirror field in controlled fashion to increase the density of the plasma at the wafer. For magnetic mirror fields, the preferred wafer position for maximum plasma density enhancement is closely adjacent to or at the bulge or cusp, to provide maximum plasma density enhancement.

It may be desired to use an axial magnetic field at the volume of the antenna to enhance plasma generation, but to eliminate the magnetic field at the wafer. An annular disk of high magnetic permeability materials (such as nickel or steel for soft iron) may be interposed below the magnet(s) and the plane of the antenna but above the wafer 5.

## 3. Extraction

20

An appropriate magnetic field can be used to extract ions and electrons toward the wafer.

# 9. CONTROL SYSTEM

The following descriptions are used here in reference to the control system depicted in FIG. 16:

25		
	Psp:	Power set point
	Pf:	Forward power Measured by directional coupler located at/inside
30		power supply
	Pr:	Reflected power " "
	Z :	Magnitude of impedance
35	<phi:< th=""><th>Phase of impedance</th></phi:<>	Phase of impedance
	Tsp:	Tune set point
	Lsp:	Load set point
40	Tfb:	Tune feedback (measured)
	Lfb:	Load feedback (measured)

FIG. 16 is a block diagram of an exemplary system for controlling the various components including the power supplies. Here, a system controller 86 is interfaced to antenna power supply 31, impedance bridge 87, antenna 30, bias power supply 42, impedance bridge 88, matching network 43, and cathode 32. The process parameters antenna power and DC bias, selected for ion flux density and ion energy, are supplied as input to the controller 86. Controller 86 may also control other parameters such as gas flow(s), chamber pressure, electrode or wafer temperature, chamber temperature, and others. The controller may preset initial tunel and loadl conditions by issuing signals on Tsp1 and Lsp1 lines connected to antenna 30. The controller may also preset initial tune2 and load2 conditions by issuing signals on Tsp2 and Lsp2 lines connected to the matching network 43. Typically, these conditions are selected to optimize plasma initiation (gas breakdown). Power may be applied first to either the antenna 30 or to the cathode 32, or applied simultaneously to both, the controller 86 issues power set points on Psp1 line to antenna power supply 31 and on Psp2 line to bias power supply 42 simultaneously or sequentially (in either order).

Avalanche breakdown occurs rapidly in the gas, generating a plasma. Controller 86 monitors forward power ( $P_{f1}$ ) and reflected power ( $P_{r1}$ ) to/from the antenna 30, and monitors forward power ( $P_{f2}$ ) and reflected power ( $P_{r2}$ ) to/from the cathode 32. DC bias (cathode to anode DC voltage) is also monitored as shown by

controller 86. The controller adjusts the coil tunel and loadl parameters by issuing set points on lines Tsp1 and Lsp<sub>1</sub>, based on either (a) forward power P<sub>f1</sub> and reflected power P<sub>r1</sub>, or (b) impedance magnitude |Z1| and impedance phase <phi1. Bridge 87 furnishes impedance magnitude and phase angle information to the controller. The antenna 30 is matched when reflected power Pr1 is substantially zero and when the impedance (magnitude and phase [Z1|<phi) is the complex conjugate of the coil power supply output impedance. (The zero reflected power condition and the conjugate impedance condition occur simultaneously, so either reflected power may be minimized or impedance may be matched, with the same result. Alternatively, VSWR (voltage standing wave ratio) or reflection coefficient may be minimized). Controller 86 adjusts the cathode 32 and the matching network 43 tune2 and load2 parameters by issuing set points on the Tsp2 and Lsp2 lines, based on either (a) forward power Pr2 and reflected power Pr2 or (b) impedance magnitude  $|Z_2|$  and impedance phase <phi2. Bridge 88 furnishes impedance magnitude  $|Z_2|$  and phase <phi2 information to the controller 86. Matching occurs when, similarly to antenna matching, reflected power</p> Pr2 is essentially zero, and when impedance (magnitude |Z2| and phase <phi2) is the complex conjugate of the bias power supply 504 output impedance. DC bias is monitored by controller 86, which varies the bias power supply's output power to obtain the desired measured DC bias. Controller 86 subtracts the measured value of DC bias from the desired value of DC bias. If the difference is negative, bias power supply 42 output is increased. If the difference is positive, the bias power supply output is decreased (higher bias power supply output generates a more negative DC bias). Proportional, proportional-integral, or proportionalintegral-derivative control or other control may be used in accordance with this method.

Alternatively, instead of the preferred embodiment of adjusting bias power supply 42 output to maintain a constant DC bias, a constant bias power supply output may be used.

In addition to the DC bias servo-matching technique discussed above, automatic tuning can also be accomplished by servoing to the peak-to-peak RF voltage. This latter approach may be advantageous, for example, in certain etch processes which require sufficient conductive surface area in the cathode and anode to provide current to drive the instrumentation. The use of polymer coating techniques may passivate these conductive areas and prevent the current from saturating the instrumentation and obtaining a valid reading. In contrast, the peak-to-peak RF voltage approach is unaffected, especially at the low frequencies associated with the preferred frequency ranges. Measurements can be taken at the matching network 43 close to the chamber rather than at the cathode.

Controller 86 may be a central controller, or a distributed system of controllers.

The turn-on/turn-off sequence may be important for sensitive wafer device structures. Generally, it is preferred to turn the source on first and off last, since sheath voltage change is minimized with such a method. For some applications, it may be preferred to turn bias on first.

#### 10. TRANSMISSION LINE STRUCTURE 32

As described in detail in the above mentioned referenced applications, proper coaxial/transmission line design requires both a feed via a low characteristic impedance, short transmission line from the matching network to the wafer and a return path along the transmission line. This design requirement is satisfied by the integral transmission line structure 32 depicted in FIG. 1 which comprises the cathode 32C, concentric annular conductor 320, and a non-porous low loss insulator 32I which surrounds the cathode 32C and insulates the cathode from the concentric annular conductor 320 and displaces process gases which otherwise might break down. For example, Teflon<sup>TM</sup> or quartz materials are preferred because they have high dielectric strength, low dielectric constant and low loss. The input side of this structure is connected to the matching network in a manner described below. The insulated cathode 32C and outer conductor 320 provide separate current paths between the matching network 43 and the plasma. One reversible current path is from the matching network along the outer periphery of the cathode 32C to the plasma sheath at the chamber (electrode) surface. The second reversible path is from the plasma along the upper inside section of chamber walls 12 then along the conductive exhaust manifold screen 29 and via the inside of the outer conductor 320 to the matching network. Please note, the exhaust manifold screen 29 is part of the uniform radial gas pumping system, and the return path for the RF current.

During application of alternating current energy, the RF current path alternates between the directions shown and the reverse directions. Due to the coaxial cable type of construction of the transmission line structure 32 and, more specifically, due to the higher internal impedance of the cathode 32C (relative to the outside thereof) and the higher impedance toward the outer surface of the conductor 320 (relative to the inner surface thereof), the RF current is forced to the outer surface of the cathode 32C and to the inner surface of the outer conductor 320, in the manner of a coaxial transmission line. Skin effect concentrates the RF current near the surfaces of the transmission line, reducing the effective cross-section of the current

path. The use of large wafers, for examples, wafers 10.16 to 20.32 cm (4 - 8 inches) in diameter and the commensurately large diameter cathode 32C and large diameter outer conductor 320 provide large effective cross-section, low impedance current paths along the transmission line structure.

Also, if the coaxial-type transmission line structure 32 were terminated in a pure resistance equal to its characteristic impedance  $Z_0$ , then the matching network would see the constant impedance  $Z_0$ , independent of the length of the transmission line. However, such is not the case here, because the plasma is operating over a range of pressure and power, and comprises different gases, which collectively vary the load impedance  $Z_1$  that the plasma presents to the end of the transmission line 32. Because the load  $Z_1$  is mismatched from the non-ideal (i.e., non-lossless) transmission line 32, standing waves present on the transmission line will increase resistive, dielectric, etc., losses between the transmission line and the matching network 43. Although the matching network 43 can be used to eliminate any standing waves and subsequent losses from the input of the matching network back to the amplifier or power supply 42, the matching network, transmission line feed 32 and plasma inside the chamber comprise a resonant system that increase the resistive, dielectric, etc., losses between the transmission line 32 and the matching network 43. In short, the load impedance  $Z_1$  will be mismatched with losses, but losses are minimum when  $Z_1$  -  $Z_0$ .

To diminish the losses due to the load mismatch, the coaxial-type transmission line structure 32 is designed to have a characteristic impedance  $Z_0$  that is best suited to the range of load impedances associated with the plasma operation. Typically, for the above-described operating parameters (example: bias frequency range approximately .3 to 3 MHz) and materials of interest, the series equivalent RC load impedance,  $Z_1$ , presented by the plasma to the transmission line will comprise a resistance within the approximate range 10 ohm to 100 ohms and a capacitance within the approximate range 50 picofarads to perhaps 400 picofarads. Consequently, as the optimum, a transmission line characteristic impedance  $Z_0$  is selected which is centered within the load impedance range, i.e., is approximately 30 to 50 ohms.

It is necessary that the transmission line 32 be very short in order to avoid transformation of the plasma impedance that the matching network sees. Preferably, the transmission line is much less than a quarter wavelength,  $\lambda/4$ , and, more preferably, is about (0.05 to 0.1)  $\lambda$ .

Also, for efficient coupling of power, the inside diameter (cross-section dimension) of the return conductor 320 should not be significantly larger than the outside diameter (cross-section dimension) of the center conductor 32C.

In short, the chamber incorporates a transmission line structure that couples power from the matching network 31 to the plasma 33. That transmission line structure (1) preferably is very short compared to a quarter wavelength at the frequencies of interest or, alternatively, is approximately equal to an integral half wavelength, to prevent undesirable transformation of the plasma impedance; (2) has a characteristic  $Z_0$  selected to suppress losses due to the presence of standing waves on the line between the plasma and the matching network; and (3) uses an outside conductor path cross-sectional dimension which is not substantially larger than that of the center conductor.

# 11. CHAMBER TEMPERATURE CONTROL

40

Temperature control features which may be incorporated in the reactor chamber system 10 include, but are not limited to, the use of a fluid heat transfer medium to maintain the internal and/or external temperature of the gas inlet manifolds above or below a certain value or within a certain range; resistive heating of the cathode 32C; fluid heat transfer heating or cooling of the cathode 32C; the use of gas heat transfer medium between the wafer 15 and the cathode 32C; the use of a fluid heat transfer medium to heat or cool chamber walls 12-14 and/or dome 17; and mechanical or electrostatic means for clamping the wafer 15 to the cathode 32C. Such features are disclosed in commonly assigned U.S. Patent No. 4,872,947, issued October 10, 1989, and commonly assigned U.S. Patent No. 4,842,683, issued June 27, 1989, which are incorporated by reference.

For example, a recirculating closed loop heat exchanger 90 can be used to flow fluid, preferably dielectric fluid, through the block and pedestal of the wafer support/cathode 32C, as indicated schematically by flow path 91, to cool (and/or heat) the wafer support. For silicon oxide etching, dielectric fluid temperatures of, for example, -40 °C are used. As mentioned above, the heat transfer between the wafer 5 and the wafer support 32 is enhanced by an inert gas heat transfer medium such as helium at the wafer-support interface.

The chamber walls and the dome can be heated and/or cooled by air convection (blown air) and/or a dielectric fluid heat exchanger. For example, closed circuit heat exchanger 92 recirculates dielectric fluid at a controlled temperature ranging from heating to cooling, for example, +120°C to -150°C, along path 93

through the chamber sidewalls. Similarly, the dome sidewalls 17W and top 17T can be heated and/or cooled by heat exchangers 94 and 96 which recirculate fluid along paths 95 and 97, respectively.

In an alternative dielectric heat control system, the antenna coil 30 is positioned between the double walls 17W of the dome, immersed in the recirculating dielectric fluid.

In another alternative approach for dielectric fluid heat control of the dome, the coils of the antenna 30 are encapsulated in high temperature plastic or Teflon™, heat conductive thermal grease is applied between the encapsulated antenna and the dome, and the coil, which is hollow, is heated and/or cooled by flowing the dielectric fluid through the coil. Because RF energy is also applied to the coil and because of the proximity to the source plasma, the dielectric oil must have good dielectric and insulating properties and a high boiling point, in addition to having high specific heat and density for efficient heat transfer at acceptable flow rates. One suitable dielectric fluid is Siltherm, available from DuPont.

# 12. THREE ELECTRODE CONFIGURATION

Referring to FIG. 1, in a presently preferred embodiment, the chamber incorporates a unique, three-electrode arrangement which affords novel process control and enhancement. The arrangement comprises a cathode (preferably the wafer support electrode 32), an anode (preferably the chamber side and bottom walls) and a top electrode which is (or includes) the dome top plate 17T. As shown in FIG. 1, the top electrode may be floating, grounded or connected to an RF power supply 40. The top electrode advantageously includes various configurations and can be formed of various materials: conductive material, preferably aluminum; dielectriccoated materials such as anodized aluminum; silicon or silicon-containing conductive material such as aluminum-silicon alloy; or may include a sacrificial silicon member 17S such as, but not limited to, a silicon wafer.

## 1) Grounded Third Electrode

15

The grounded top plate 17T improves the ground reference surface for the bias voltage (relative to the conventional reference provided by the walls 12) and as a consequence enhances ion extraction from the source 16A to the processing region 16B and so increases process rates (such as etch rates). In addition, the grounded top plate improves the coupling of the plasma (generated in the source) with the wafer.

## 2) Biased Third Electrode

The use of an RF-biased third electrode in combination with supplying free silicon to the source plasma (using an electrode which includes or is covered with a silicon-containing member), enhances various processing characteristics including etch rate and selectivity. Aided by the strong dissociation characteristics of the source plasma, the silicon enters the gas phase and combines with/scavenges free fluorine. (The dissociation characteristics of the source plasma results in the high concentrations when fluorine-containing gas chemistry is used, for example, to etch oxide. This increases the etch rate of oxide but also increases the etch rate of the associated wafer materials such as polysilicon and, thus, reduces the oxide to poly selectivity). The fluorine scavenging by the free silicon permits the use of a so-called "lighter" polymer chemistry with a lesser tendency to deposit polymer, including on the chamber and on the sidewalls of the oxide. The result is increased oxide etch rate, increased oxide selectivity relative to poly, and enhanced oxide etch anisotropy and vertical profile and decreased microloading. In addition, the free silicon affects the polymerization reaction and results in a more stable passivating polymer deposition on the silicon, preferentially relative to the oxide, with enhanced suppression of the polysilicon etch rate and increased oxide selectivity relative to the silicon.

In addition, the sacrificial silicon-containing third electrode operates synergistically with the use of a carbon- and oxygen-containing gas such as C0 and/or CO<sub>2</sub> additive, to form polymers on polysilicon surfaces. This increases suppression of silicon etching and enhances selectivity for oxide relative to silicon and increases the polymer sidewall deposition on the oxide, thus enhancing etch anisotropy and vertical sidewall etch profile of the oxide. We use "synergistically" advisedly, because the above process enhancements from combining the carbon- and oxygen-containing gas chemistry with the use of the sacrificial silicon-containing electrode is greater than a mere addition of the individual benefits of these two features. In addition, the use of these features in a gas chemistry containing a CHF<sub>3</sub> main etchant also is synergistic in that the oxide etch rate increases, along with a decrease in polysilicon etch rate relative to other fluorine chemistries.

Example: Etching Polysilicon over Silicon Oxide

Polysilicon over silicon oxide on silicon wafers was etched in our three-electrode chamber using pressure within the range about  $2.66 \times 10^{-6}$  bar to about  $2.66 \times 10^{-5}$  bar (about 2 mT to about 20 mT); 50 cc chlorine (Cl<sub>2</sub>) etchant gas flow rate (manifold G1 only); source power of 1500 watts; bias voltage of - 20 volts; and a grounded top electrode (without silicon), providing a polysilicon etch rate of 350 to 400 nm (3500 - 4000 Angstroms) per minute, a vertical etch profile, and a > 100:1 selectivity of polysilicon to oxide.

**Example: Silicon Oxide Deposition** 

10

Two-step bias sputter deposition of silicon dioxide on silicon wafers was done in our three-electrode chamber using a pressure (both steps) of about  $2.66 \times 10^{-6}$  bar to about  $1.33 \times 10^{-5}$  bar (about 2 mT to about 10 mT); gas flow rate of about 200 cc argon/90 cc oxygen/45 cc silane (both steps -- manifold G1 only); source power of 2000 watts (both steps); grounded top electrode (both steps); and bias voltage of about -20 volts (first step) and about 100-200 volts (second step), thereby providing a deposition during the first step (no sputtering) of > 750 nm (> 7500 Angstroms) per minute and net oxide deposition during the second step (deposition with profile control sputtering) of approximately 400 to 500 nm (4000 to 5000 Angstroms) per minute.

Example: Etching Silicon Oxide over Polysilicon using Polymer-Forming Chemistry

Silicon oxide over polysilicon was etched in our three-electrode chamber using pressure  $2.66 \times 10^{-6}$  bar to  $3.99 \times 10^{-5}$  (2 - 30 mT); gas chemistry flow rates CHF3, 30 - 60 sccm; CO or CO2, 6 - 18 sccm; and Ar, 100 - 200 sccm (inlet manifold G1 only); source power of 2000 watts; bias voltage of 200 volts; top electrode 17T with a silicon disk 175 mounted thereto and biased by RF energy of 2 MHz and 1000 watts. The silicon oxide was etched at a rate of 8000 Angstroms per minute with 50:1 selectivity of oxide to poly. Alternatively, the silicon-containing body may be supplemented by a silica coating on the quartz dome walls 17W.

## 13. FURTHER DISCUSSION OF ETCHING APPLICATION

## 1) The etching problem

A significant challenge in semiconductor fabrication is to etch away selected thicknesses of silicon dioxide when the underlying layer is polysilicon. A high degree of selectivity is desired, so that the silicon oxide will be etched at a relatively high rate while any exposed polysilicon will not be significantly etched at all. Unfortunately, polysilicon normally etches faster than silicon oxide. A conventional solution to this problem is to introduce into the plasma gases some combination of carbon, hydrogen and fluorine, such as CHF<sub>3</sub> in conjunction with CF<sub>4</sub>, or hydrogen, or methane. The result is to produce a thin passivating layer on the polysilicon, so that etching can continue at a relatively high rate on the silicon oxide. Unfortunately, a high-density plasma may dissociate, in the source region, into the atomic components of the feed gases, and a thick polymer layer that is formed on the wafer makes etching of small device geometries more difficult. An important concept in this regard is "microloading," which is defined as:

1 - (etch rate ratio),

where the etch rate ratio is the ratio of the etch rate in small features of a wafer to the etch rate in large features. Thus, if an etch process has the desirable property of etching small and large features at the same rate, the microloading will be 1 - 1/1 = 0. In a process in which smaller features etch at a much slower rate, the microloading figure will tend toward 1.0.

The difficulty in the etching application described is that, in order to obtain high etching selectivity, one has to use a relatively large amount of polymer-forming gas in the plasma, but the polymer layer results in microloading of much greater than zero. Typically, one can expect to obtain no better than a 10:1 selectivity ratio coupled with about 0.1 microloading. However, there are many applications that require selectivity ratios as high as 30:1 or 40:1, with microloading of practically zero.

# 2) Using Silicon in the Source Region

For high-density plasma sources, one of the dissociation products that etches polysilicon spontaneously is fluorine. As previously mentioned, silicon may by used to rid the source region of free fluorine radicals.

The silicon may take the form of a coating on the third electrode 17T or on the inside walls 17W of the chamber. If the sacrificial silicon is on the walls, the thickness of silicon becomes a consideration, together with the frequency at which RF energy is supplied to the plasma from the antenna 30. These parameters must be chosen to ensure that sufficient energy will be coupled electromagnetically through the chamber walls. If the silicon is incorporated into the third electrode as indicated at 17T, the silicon thickness is not critical. In any event, if silicon is made available to scavenge free fluorine from the source region, the result is the formation of silicon fluoride (SiF<sub>4</sub>), which is a volatile gas that can be easily pumped out of the chamber. If fluorine is removed in this way, lower concentrations of polymer-forming gas are needed and there is a lesser tendency to deposit heavy polymer on the wafer. It appears that selectivity is improved by two mechanisms. First the removal of fluorine reduces the etching rate of polysilicon, and second, the presence of silicon in the source region seems to affect the manner in which the the polymer layer is formed. For reasons not yet fully understood, the polymer layer forms more rapidly on polysilicon than on silicon oxide, again enhancing the etching process.

The silicon material will itself become coated with polymer during the process, and may eventually cease to be effective. If the silicon is heated, this will reduce the polymer formation, and if the silicon is biased electrically it is possible to increase bombardment of the silicon surface sufficiently that the polymer is sputtered off the surface, to expose the silicon again. Therefore, one of the presently preferred embodiments of the invention uses silicon on a biased electrode, but the silicon may alternatively be incorporated into an unbiased electrode surface, or into the chamber walls.

# 3) Using an oxygen-containing additive gas

As discussed above in section 12, the use of a gas such as CO or CO<sub>2</sub> in the source region further enhances etching performance. Specifically, the ability of the plasma to etch polysilicon is suppressed and the etching selectivity of silicon oxide relative to polysilicon is enhanced. Further, polymer sidewall deposition on the oxide is reduced, thus enhancing etch anisotropy and vertical sidewall etch profile of the oxide. Although the preferred approach is to use an oxygen-containing additive gas together with the other related enhancements, i.e. with silicon incorporated into a biased top electrode, an oxygen-containing additive gas may also be used to some advantage without a biased top electrode and without simultaneously using silicon to scavenge fluorine from the source region.

## 14. OTHER FEATURES

## Plasma Control

20

35

A preferred feature of the invention is to automatically vary "bottom" power to maintain a constant cathode (wafer) sheath voltage. At low pressures (<6.65 x 10<sup>-4</sup> bar (<500 mT)) in a highly asymmetric system, the DC bias measured at the cathode is a close approximation to the cathode sheath voltage. Bottom power can be automatically varied to maintain a constant DC bias. Bottom power has very little effect on plasma density and ion current density. Top or antenna power has a very strong effect on plasma density and on current density, but very small effect on cathode sheath voltage. Therefore, it is desired to use top power to define plasma and ion current densities, and bottom power to define cathode sheath voltage.

## 45 2) Differential Bias

As an alternative to biasing the wafer 5 with respect to ground, the bias matching network 43 and the top plate 17T can be "ungrounded" and referenced to one another, as indicated by the dotted connection 50, FIGS. 1 and 2. Referring to FIG. 2, the top plate is driven differentially and balanced so that the voltage V<sub>T-SS</sub> between the top plate and the wafer is approximately twice the magnitude of the voltage V<sub>T-W</sub> between the top plate and the wall 12, and approximately twice the magnitude of the voltage V<sub>SS-W</sub> between the wafer and the wall. This balanced differential drive reduces the interaction of the plasma with the walls and increases the interaction -- ion extraction --between the source region 16A and the wafer region 16B.

## 3) Alternative Configurations

The inventive plasma reactor system is depicted in FIG. 1 in the conventional orientation, that is vertically, with the substrate 5 residing on an electrode 32 (cathode) and the antenna 30 surrounding the

dome 17 above the electrode. For convenience, it was referred to the power supplied to the antenna 30 as "antenna" or "source" or "top" power and that supplied to the electrode/cathode 32 as "bias" or "bottom" power. These representations and designations are for convenience only, and it is to be understood that the described system may be inverted, that is, configured with the electrode 32 on top and an antenna located below this electrode, or may be oriented in other ways, such as horizontally, without modification. In short, the reactor system works independently of orientation. In the inverted configuration, plasma may be generated at the antenna 30 and transported upwardly to the substrate 5 located above the antenna in the same manner as described in the specifications. That is, transport of active species occurs by diffusion and bulk flow, or optionally assisted by a magnetic field having an axial gradient. This process does not depend on gravitational forces and thus is relatively unaffected by orientation. The inverted orientation may be useful, for example, to minimize the probability of particles formed in the plasma generation region in the gas phase or on a surface, falling to the substrate. Gravity then reduces the probably of all but the smallest of such particles moving upward against a gravitational potential gradient to the substrate surface.

It will be understood from the foregoing detailed description that the principles of the invention are also applicable to alternative configurations other than the one described by way of example. A common feature of all the configurations to which the invention pertains is that a plasma be formed and maintained in a chamber by means of electromagnetic coupling of RF energy from outside the chamber. For purposes of this invention, the term electromagnetic coupling means that the RF energy is coupled into the bulk or volume of the plasma formed in the chamber, as distinguished from capacitive coupling, wherein energy is transferred through a sheath layer between the plasma and one or more electrodes. In the disclosed illustrative embodiment of the invention, RF energy is electromagnetically coupled to the plasma by induction, but it will be appreciated that there are other energy transfer mechanisms that also result in electromagnetic coupling of RF energy directly to the bulk of the plasma. For example, microwave ECR (electroncylotron resonance) systems also couple energy electromagnetically into the bulk of the plasma.

# 4) High and Low Pressure Operation and Variable Spacing

The chamber design is useful for both high and low pressure operation. The spacing, d, between the wafer support cathode 32C and the plane of the bottom coil or turn of the antenna may be tailored for both high and low pressure operation. For example, high pressure operation at  $6.65 \times 10^{-4}$  bar to  $6.65 \times 10^{-2}$  bar (500 millitorr - 50 torr) preferably uses spacing d < about 5 centimeters, while for lower pressure operation over the range <1.33  $\times$  10<sup>-7</sup> bar to  $6.65 \times 10^{-4}$  (< 0.1 millitorr - 500 millitorr), a spacing d > 5 centimeters may be preferable. The chamber may incorporate a fixed spacing d, as shown, or may utilize variable spacing designs such as interchangeable or telescoping upper chamber sections. The reactor system 10 is useful for processes such as high and low pressure deposition of materials such as silicon oxide and silicon nitride; low pressure anisotropic reactive ion etching of materials such as silicon dioxide, silicon nitride, silicon, polysilicon and aluminum; high pressure plasma etching of such materials; and CVD faceting involving simultaneous deposition and etchback of such materials, including planarization of wafer topography. These and other processes for which reactor system 10 may be used are described in European patent applications 91112905.4 and 91112917.5, which are incorporated by reference.

## 15. APPARATUS EXAMPLES

A present working embodiment of the system incorporates the source configuration and the antenna configuration depicted in Fig. 1 . The 12.7 cm (5 inch) high quarts source chamber 17 has a diameter of 30.48 cm (12 inches). The 2 MHz, 33.02 cm (13 inch) diameter, 10.16 cm (4 inch) high, 13 turn coil antenna is terminated at both ends (with variable capacitors L and T which are grounded), spaced about 0.635 cm (0.25 inch) from (below) the ground plane,, and surrounds the source. Reactive load matching is supplied by the variable capacitor L (10-3000) picofarad variable cap, rated 5kV). Also, capacitive tuning of the antenna to resonance is provided by a tuning capacitor T (5-100 picofarad, 15kV rating). Operation using source RF energy of 2 kilowatt, 2 MHz provides a plasma which extends to the wafer, which is 5.08 cm (2 inches) downstream (beneath the source). This provides a plasma density of 1-2 x 1012/cm³ and ion saturation current density of 10-15 mA/cm² downstream at the wafer. A bottom or bias of 2 MHz, 600 watts applied to a 12.7 cm (5 inch) wafer positioned on the support electrode approximately 5.08 cm (2 inches) below (downstream) of the antenna provides a 200 volt cathode sheath voltage.

## 16. PROCESSES

As indicated above, the above-described reactor embodying the present invention is uniquely useful for numerous plasma processes such as reactive ion etching (RIE), high pressure plasma etching, low pressure chemical vapor deposition (CVD) including sputter facet deposition and planarization, and high pressure conformal isotropic CVD. Other applications include, but are not limited to, sputter etching, ion beam etching, or as an electron, ion or active neutral plasma source.

(Those of usual skill in the art will appreciate that the present invention is not limited to the use of a dome per se. Rather, it applies to substantially any configuration having a source region and processing region. This includes, for example, the "stepped", domed chamber configuration depicted in the drawings as well as a more conventional, non-stepped configuration in which the source and processing region or chamber sections are substantially the same cross-section.)

## Claims

15

20

25

30

35

40

45

55

- 1. An RF plasma process apparatus (10) for processing a workpiece, comprising:
  - a) a vacuum chamber (16) including a plasma source region (16A);
  - b) a workpiece support member adapted for supporting the workpiece (5) to be processed at a position within the chamber (16) outside the plasma source region;
  - c) at least one gas manifold (G1,G2,G3) mounted in the chamber for supplying process gas into the plasma source region;
  - d) first and second RF power supplies for producing RF energy;
  - e) plasma excitation means (30) for electromagnetically coupling RF energy from the first RF power supply into the plasma source region so as to form a plasma from said process gas;
  - f) a cathode electrode (32c) located outside the source region and near said workpiece position within the chamber, the cathode electrode being electrically coupled to the plasma through an electrical power source so as to create an electric field which attracts charged particles from the plasma to flow toward the cathode electrode;
  - g) an anode electrode mounted in the chamber for capacitively coupling RF energy from the second RF power supply into the chamber, thereby establishing a voltage across a plasma sheath; and
  - h) a silicon source (17s) for supplying silicon ions into the chamber (16).
- 2. An RF plasma process apparatus (10) especially according to claim 1, comprising:
  - a) a vacuum chamber (16) including a plasma source region (16A);
  - b) a workpiece support member adapted for supporting a workpiece (5) to be processed at a position within the chamber outside the plasma source region;
  - c) at least one gas manifold (G1;G2;G3) mounted in the chamber for supplying process gas into the chamber plasma source region;
  - d) first and second RF power supplies for producing RF energy;
  - e) plasma excitation means (30) for electromagnetically coupling RF energy from the first RF power supply into the plasma source region so as to form a plasma from said process gas;
  - f) a cathode electrode (32C) located outside the source region and near said workpiece position within the chamber, the cathode electrode being electrically coupled to the plasma through an electrical power source so as to create an electric field which attracts charged particles from the plasma to flow toward the cathode electrode; and
  - g) an anode electrode mounted in the chamber for capacitively coupling RF energy from the second RF power supply into the chamber, thereby establishing a voltage across a plasma sheath;
  - h) wherein the first RF power supply produces RF energy at a frequency which is low enough to avoid damage to said article caused by electrical current flow in the article, and which is high enough to provide efficient coupling of RF energy into the plasma.
- 3. An RF plasma process apparatus (10) especially according to any one of the preceding claims, comprising:
  - a) a vacuum chamber (16) including a plasma source region;
  - b) a workpiece support member adapted for supporting a workpiece (5) to be processed at a position within the chamber outside the plasma source region;
  - c) at least one gas manifold (G1;G2;G3) mounted in the chamber for supplying process gas into the plasma source region;
    - d) first and second RF power supplies for producing RF energy;
    - e) plasma excitation means (30) for electromagnetically coupling RF energy from the first RF power

supply into the plasma source region so as to form a plasma from said process gas;

- f) a cathode electrode (32c) located outside the source region and near said workpiece (5) position within the chamber, the cathode electrode being electrically coupled to the plasma through an electrical power source so as to create an electric field which attracts charged particles from the plasma to flow toward the cathode electrode; and
- g) an anode electrode mounted in the chamber for capacitively coupling RF energy from the second RF power supply into the chamber, thereby establishing a voltage across a plasma sheath;
- h) wherein the second RF power supply produces RF energy at a frequency which is low enough to avoid damage to said article caused by electrical current flow in the article, and which is high enough to avoid voltage induced damage to the article caused by impingement by energetic particles.
- 4. An RF plasma process apparatus especially according to any one of the preceding claims comprising a vacuum chamber (16) having a plasma source region (16A) near one end of the chamber; a support structure adapted for supporting a workpiece (5) to be processed at a position within the chamber outside the plasma source region; at least one gas manifold means (G1;G2;G3) for supplying process gas into the chamber; and plasma excitation means (30) for coupling RF energy into the plasma source region so as to form a plasma from the process gas; characterized in that the gas manifold means comprises:

a gas inlet (G2) positioned near said one end of the chamber (16);

5

10

15

20

25

30

35

50

a first ring manifold (51) positioned near the boundary of the plasma source region furthest from said one end of the chamber; and

a second ring manifold (58) surrounding the workpiece position.

- 5. An RF plasma process apparatus especially according to any one of the preceding claims comprising a vacuum chamber having a plasma source region near one end of the chamber; a workpiece support member adapted for supporting a workpiece (5) to be processed at a position within the chamber outside the plasma source region; at least one gas manifold means (G1;G2;G3) for supplying process gas into the chamber; plasma excitation means (30) for coupling RF energy into the plasma source region so as to form a plasma from the process gas; and a cathode electrode (32C) located outside the source region and near said workpiece position within the chamber, the cathode electrode being electrically coupled to the plasma through an electrical power source so as to create an electric field which attracts charged particles from the plasma to flow toward the cathode electrode; characterized in that the apparatus further comprises:
- a first gas outlet (38) within the chamber which is located within or near the plasma source region (16A);

a second gas outlet (18) within the chamber (16) which is located closer to the workpiece position than to the plasma source region; and

- at least one vacuum pump (21, 39) connected to the first and second gas outlets so as to exhaust gas from the chamber at first and second flow rates through the first and second outlets, respectively;
  - wherein the first flow rate is sufficiently greater than the second flow rate to establish a pressure differential which causes electrically uncharged particles within the plasma source region to be exhausted through the first outlet; and
  - wherein the difference between the first and second flow rates is small enough so that electrically charged particles within the plasma source region flow toward the cathode electrode.
- 6. An RF plasma process apparatus (10) comprising a vacuum chamber (16) having a plasma source region (16A) near one end of the chamber; a workpiece support member adapted for supporting a workpiece (5) to be processed at a position within the chamber outside the plasma source region; at least one gas manifold means (G1,G2,G3) for supplying process gas into the chamber; plasma

supply into the plasma source region so as to form a plasma from said process gas;

- f) a cathode electrode (32c) located outside the source region and near said workpiece (5) position within the chamber, the cathode electrode being electrically coupled to the plasma through an electrical power source so as to create an electric field which attracts charged particles from the plasma to flow toward the cathode electrode; and
- g) an anode electrode mounted in the chamber for capacitively coupling RF energy from the second RF power supply into the chamber, thereby establishing a voltage across a plasma sheath;
- h) wherein the second RF power supply produces RF energy at a frequency which is low enough to avoid damage to said article caused by electrical current flow in the article, and which is high enough to avoid voltage induced damage to the article caused by impingement by energetic particles.
- 4. An RF plasma process apparatus especially according to any one of the preceding claims comprising a vacuum chamber (16) having a plasma source region (16A) near one end of the chamber; a support structure adapted for supporting a workpiece (5) to be processed at a position within the chamber outside the plasma source region; at least one gas manifold means (G1;G2;G3) for supplying process gas into the chamber; and plasma excitation means (30) for coupling RF energy into the plasma source region so as to form a plasma from the process gas; characterized in that the gas manifold means comprises:

a gas inlet (G2) positioned near said one end of the chamber (16);

5

10

15

20

25

30

35

50

a first ring manifold (51) positioned near the boundary of the plasma source region furthest from said one end of the chamber; and

a second ring manifold (58) surrounding the workpiece position.

- 5. An RF plasma process apparatus especially according to any one of the preceding claims comprising a vacuum chamber having a plasma source region near one end of the chamber; a workpiece support member adapted for supporting a workpiece (5) to be processed at a position within the chamber outside the plasma source region; at least one gas manifold means (G1;G2;G3) for supplying process gas into the chamber; plasma excitation means (30) for coupling RF energy into the plasma source region so as to form a plasma from the process gas; and a cathode electrode (32C) located outside the source region and near said workpiece position within the chamber, the cathode electrode being electrically coupled to the plasma through an electrical power source so as to create an electric field which attracts charged particles from the plasma to flow toward the cathode electrode; characterized in that the apparatus further comprises:
- a first gas outlet (38) within the chamber which is located within or near the plasma source region (16A);

a second gas outlet (18) within the chamber (16) which is located closer to the workpiece position than to the plasma source region; and

- at least one vacuum pump (21, 39) connected to the first and second gas outlets so as to exhaust gas from the chamber at first and second flow rates through the first and second outlets, respectively;
  - wherein the first flow rate is sufficiently greater than the second flow rate to establish a pressure differential which causes electrically uncharged particles within the plasma source region to be exhausted through the first outlet; and
  - wherein the difference between the first and second flow rates is small enough so that electrically charged particles within the plasma source region flow toward the cathode electrode.
- 6. An RF plasma process apparatus (10) comprising a vacuum chamber (16) having a plasma source region (16A) near one end of the chamber; a workpiece support member adapted for supporting a workpiece (5) to be processed at a position within the chamber outside the plasma source region; at least one gas manifold means (G1,G2,G3) for supplying process gas into the chamber; plasma

excitation means (30) for coupling RF energy into the plasma source region so as to form a plasma from the process gas; and a cathode electrode (32C) located outside the source region and near said workpiece position within the chamber, the cathode electrode being electrically coupled to the plasma through an electrical power source so as to create an electric field which attracts charged particles from the plasma to flow toward the cathode electrode; characterized in that the apparatus further comprises:

a magnet structure (78) which produces a magnetic field within the chamber (16); the magnetic field being substantially parallel to, and confined to, a plane located between the plasma source region (16A) and the cathode electrode (32C), the plane being perpendicular to the general direction of flow of charged particles from the plasma toward the cathode electrode; whereby the magnetic field inhibits free electrons in the plasma source region from crossing the plane, while permitting ions to cross the plane and flow toward the cathode electrode.

- 7. An apparatus according to claim 6, further characterized in that the magnetic field plane of the magnet structure is spaced from the workpiece position sufficiently to avoid the creation of a significant magnetic field at the workpiece position.
  - 8. An apparatus according to claim 6 or 7, further characterized in that the magnet structure (78) comprises a planar array of spaced-apart, bar-shaped magnets, with adjacent magnets having poles of opposite polarity facing each other.
  - 9. An RF plasma process apparatus especially according to any one of the preceding claims comprising a vacuum chamber (16) having a plasma source region (16A) near one end of the chamber; a workpiece support member adapted for supporting a workpiece (5) to be processed at a position within the chamber outside the plasma source region, at least one gas manifold means (G1,G2,G3) for supplying process gas into the chamber; plasma excitation means (30) for coupling RF energy into the plasma source region so as to form a plasma from the process gas; and a cathode electrode (32C) located outside the source region and near said workpiece position within the chamber, the cathode electrode being electrically coupled to the plasma through an electrical power source so as to create an electric field which attracts charged particles from the plasma to flow toward the cathode electrode; further characterized in that:

a portion of the chamber is bounded by a dielectric wall; and

5

10

20

25

30

35

45

55

the apparatus further comprises a magnet structure (76) which produces a magnetic field immediately inside the wall which is predominantly parallel to the wall;

whereby the magnet structure (76) inhibits electrically charged particles from impinging on the wall.

- 40 10. An apparatus according to claim 9, further characterized in that the magnet structure (76) comprises an array of spaced-apart magnets surrounding the wall, the magnets having their poles oriented perpendicular to the wall, the poles of adjacent magnets being of opposite polarity, and the magnets being spaced closely enough to confine the magnetic field to the immediate vicinity of the wall so that the magnet structure does not create a substantial magnetic field at the workpiece position.
  - 11. An RF plasma processing apparatus (10) especially according to any one of the preceding claims, comprising a vacuum chamber; an RF power supply; and an antenna (30) electrically coupled to the RF power supply (16) and positioned so as to radiate RF energy into the chamber; characterized in that:
- the RF power supply has first and second output terminals, the first terminal being directly connected to a point on the antenna; and

first and second variable capacitors are respectively connected between first and second ends of the antenna and the second power supply terminal;

whereby an impedance match between the antenna and the RF power supply can be achieved by adjusting the two variable capacitors without requiring an inductor to be connected between the power supply and the antenna.

halogen. 23. A process according to claim 21 or 22, wherein the halogen is fluorine. 

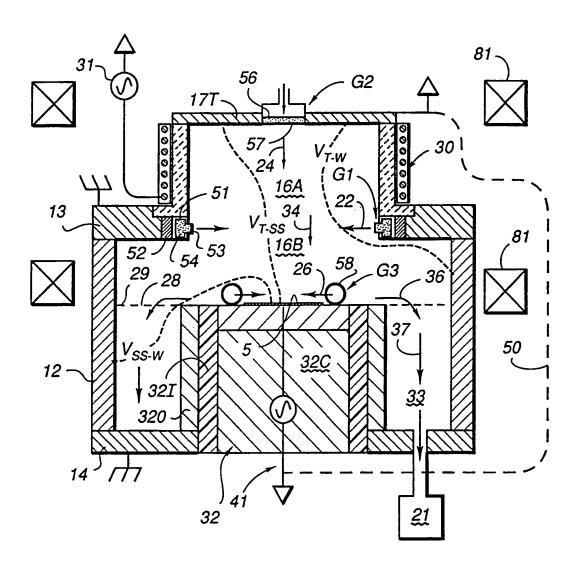
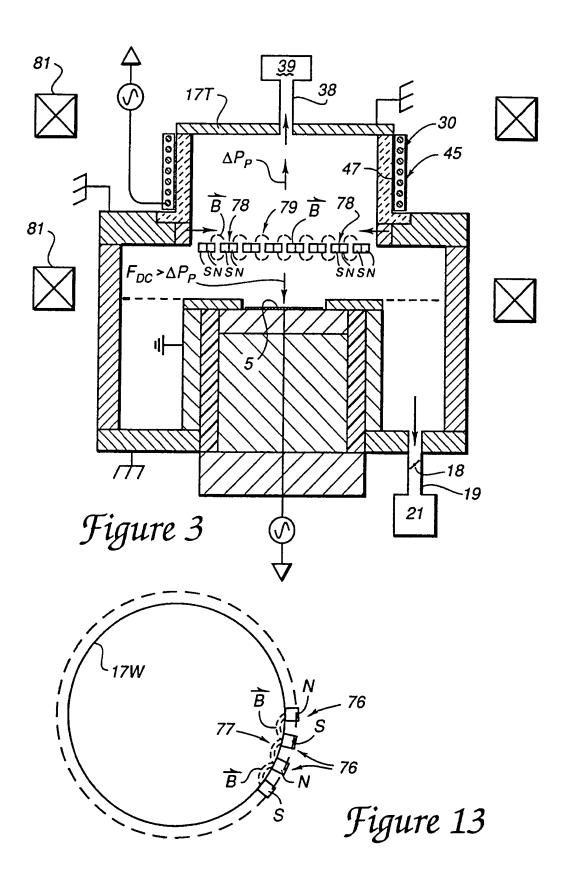


Figure 2



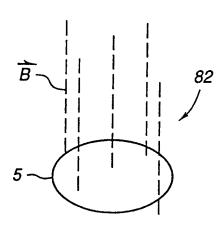


Figure 14A

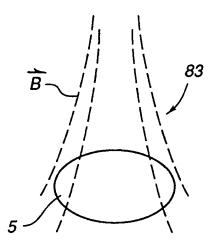


Figure 14B

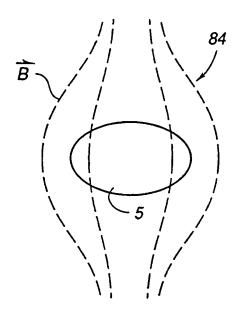


Figure 14C

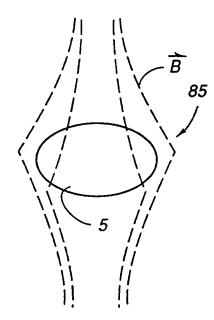
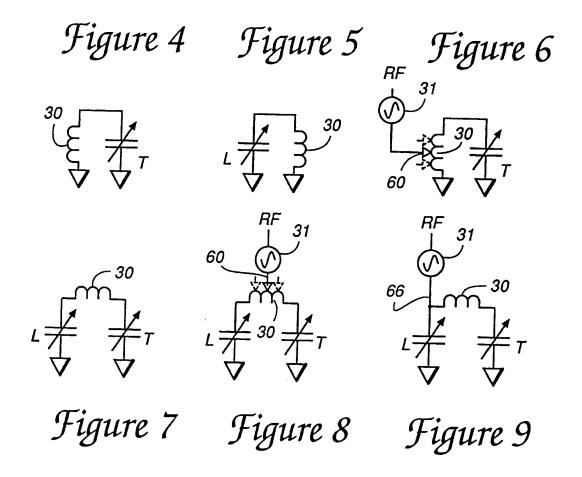
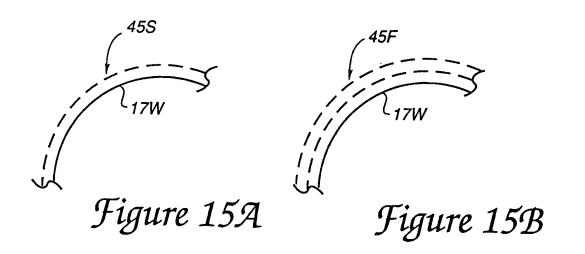
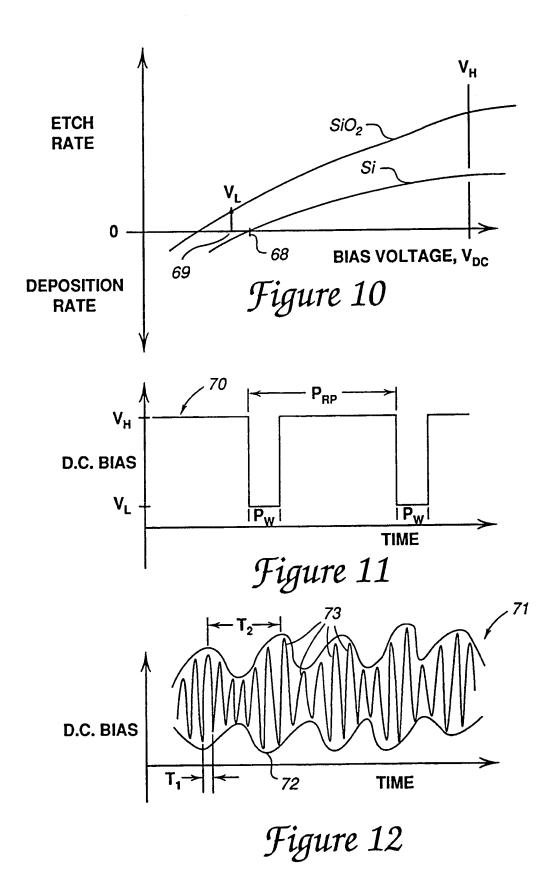


Figure 14D







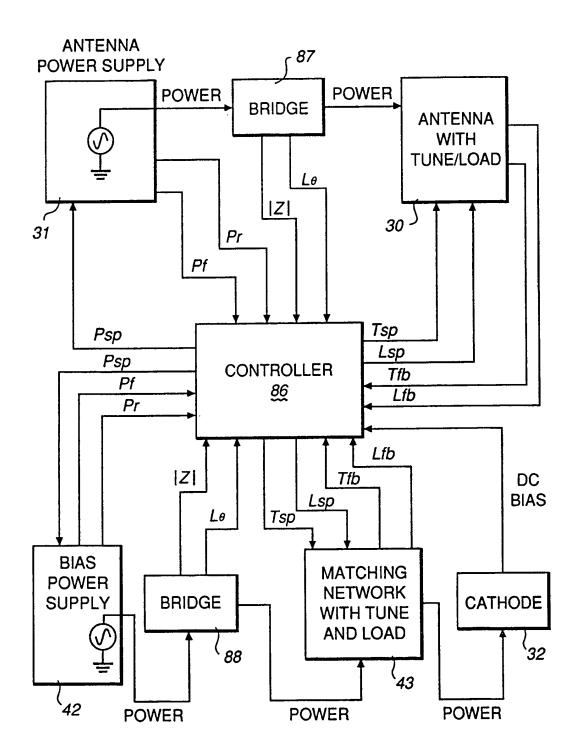


Figure 16

# **EUROPEAN SEARCH REPORT**

Application Number

92 11 1017 EP

Category	Citation of document with in of relevant pa	ndication, where appropriate, scages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl.5)	
A	EP-A-0 403 418 (PLASMA INC.)	& MATERIALS TECHNOLOGIES,	1,4,11, 14,15, 17-19	H01J37/32 H05H1/46	
x	* column 9, line 39 - c figures 6,9,15 *	olumn 11, line 38;	6-10		
A	WO-A-8 603 886 (BENZING	)	1-3, 12, 20		
	* page 6, line 21 - pag * page 12, line 21 - pa	e 10, line 15 * ge 14, line 1; figures *			
<b>A</b>	US-A-4 795 529 (KAWASAK * column 17, line 25 - figure 19 *		1,20		
		<del></del>			
				TECHNICAL FIELDS SEARCHED (Int. Cl.5)	
				H01J H05H	
	The present search report has b	een drawn up for all claims			
	Place of search	Date of completion of the search		Browniane	
THE HAGUE		14 SEPTEMBER 1992	SCH	AUB G.G.	
CATEGORY OF CITED DOCUMENTS  X: particularly relevant if taken alone Y: particularly relevant if combined with another document of the same category A: technological background O: non-written disclosure P: intermediate document		E : earlier patent after the filing other D : document cite	T: theory or principle underlying the invention E: earlier patent document, but published on, or after the filing date D: document cited in the application L: document cited for other reasons		
		***************************************	& : member of the same patent family, corresponding		